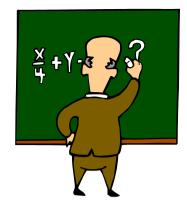




### Testing from Formal Specifications, *a unifying framework*

Marie-Claude Gaudel Emeritus Professor LRI, Univ Paris-Sud & CNRS



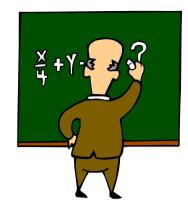
## Software Testing can be formal too



A pioneering paper:

- *«We know less about the theory of testing, which we do often, than about the theory of program proving, which we do seldom »*
- Goodenough J. B., Gerhart S., IEEE Transactions on Software Engineering, 1975

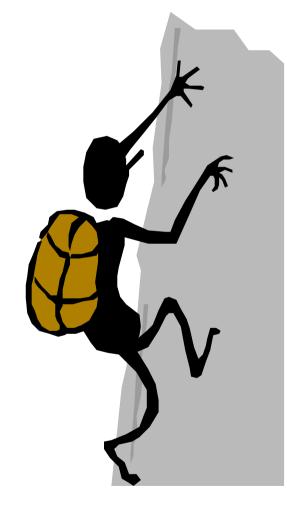


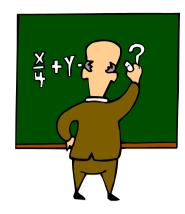


## There have been some progresses...

Outline of the course

- Introduction Part
  - Formal specifications
  - Testing
- Putting them together
- *Case splitting methods* DNF, unfolding,...
- Illustrations
  - Axioms, FSM, CSP





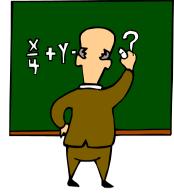


### **INTRODUCTION PART**

#### Formal specifications

Testing

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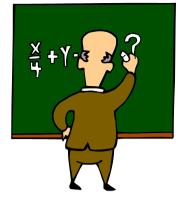


### 1 - Formal Specifications?



- As for any specification framework, there is a notation, for instance:
  - Formulas
    - Pre/Post-conditions, 1<sup>st</sup> order logic, JML, SPEC# ...
    - Algebraic Spec (CASL), Z, VDM, B,
  - Processes definitions
    - CSP, CCS, Lotos, Circus ...
  - Annotated diagrams
    - Automata, Finite State Machines (FSM), Petri Nets...
- But there is more than a syntax...

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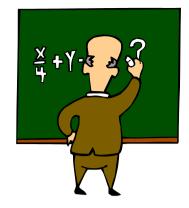


## What makes a specification method formal?



- There is a formal semantics
  - Algebras, Predicate transformers, Sets, Labelled Transition Systems (LTS), Traces and Failures...
- There is a *formal system* (proofs) or a *verification method* (model-checking), or both.
- Thus
  - Formal specifications can be analysed to guide the identification of appropriate test cases.

*– They may contribute to the definition of oracles.* June 2017 HSST, Halmstad



Example 1:Pre/Post-conditions (à la VDM)



MAX (a: $\mathbb{Z}$ , b: $\mathbb{Z}$ ) result max: $\mathbb{Z}$ pre true post (max=a V max=b)  $\land$  max $\ge$ a  $\land$  max $\ge$ b



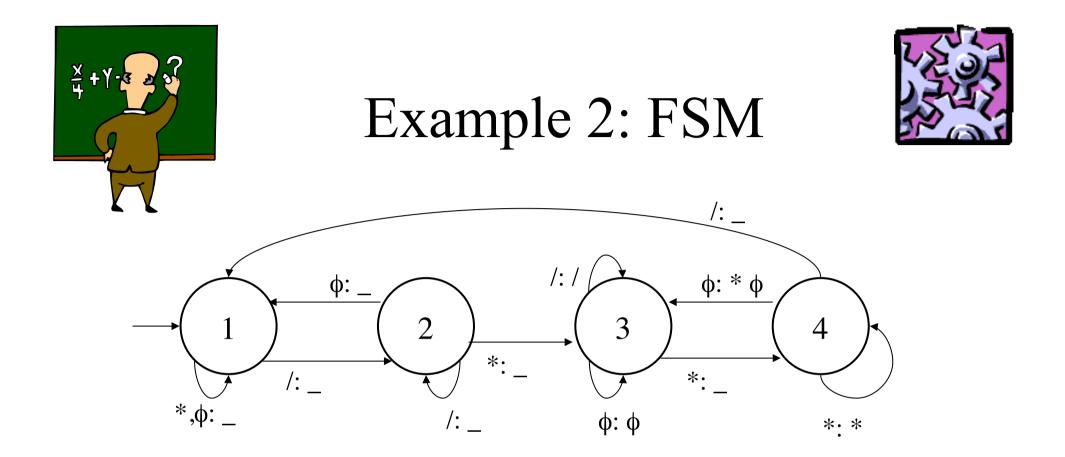
# Example 1bis: axioms of a data type (à la CASL)

spec CONTAINER = NAT, BOOL

then

- generated type *Container* ::= [] | \_::\_(*Nat* ; *Container*)
- **op** *isin* : *Nat*  $\times$  *Container*  $\rightarrow$  *Bool*
- **op** *remove: Nat* × *Container* → *Container*
- $\forall x, y:Nat; c:Container$
- *isin(x, []) = false*
- $eq(x, y) = true \Rightarrow isin(x, y::c) = true$
- $eq(x, y) = false \Rightarrow isin(x, y::c) = isin(x, c)$
- *remove(x, []) = []*
- $eq(x, y) = true \Rightarrow remove(x, y::c) = c$
- $eq(x, y) = false \Rightarrow remove(x, y::c) = y::remove(x, c)$

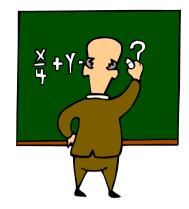
end



This FSM removes from the input text all that is not a comment A comment is a string between /\* and \*/ Examples:

This is not a comment /\* all that / \*is \*\* a comment \*/ this is no more a comment.

NB:  $\phi$  is any character but \* and /





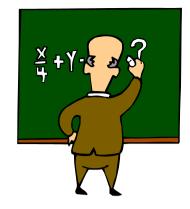
### Example 3: CSP processes

$$Counter_{2} = add \rightarrow C_{1}$$

$$C_{1} = add \rightarrow C_{2} [ ]sub \rightarrow Counter_{2}$$

$$C_{2} = sub \rightarrow C_{1}$$

 $\begin{aligned} Replicator &= c?x: Int \rightarrow d!x \rightarrow Replicator \\ FreshInt(n:Int) &= c!n \rightarrow FreshInt(n+1) \\ (FreshInt(0)|[c]|Replicator) \setminus c & \text{parallel composition} \\ & \text{with hidden synchronisation on } c \end{aligned}$ 

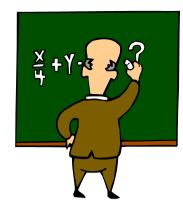


### Example 3bis: a Circus



#### process

 $RANGE == 0 \dots 59$ channel tick, time **channel**  $out : RANGE \times RANGE$ **process** *Chrono*  $\hat{=}$  **begin** state AState = [sec, min : RANGE] $AInit = [AState' | sec' = min' \land min' = 0]$  $IncSec = [\Delta AState \mid sec' = (sec + 1) \mod 60 \land min' = min]$  $IncMin = [\Delta AState \mid min' = (min + 1) \mod 60 \land sec' = sec]$  $Run \stackrel{\frown}{=} tick \longrightarrow IncSec; ((sec = 0) \& IncMin)$ The *Chron* process  $(sec \neq 0) \otimes \mathbf{Skip}))$  $\square$  $time \longrightarrow out ! (min, sec) \longrightarrow \mathbf{Skip}$ • (AInit;  $(\mu X \bullet (Run; X)))$  $\mathbf{end}$ **process**  $Clock \cong$  **begin** •  $\mu X \bullet tick \longrightarrow X$  end **process**  $TChrono \cong (Chrono \llbracket \{ tick \} \rrbracket Clock) \setminus \{ tick \}$ 

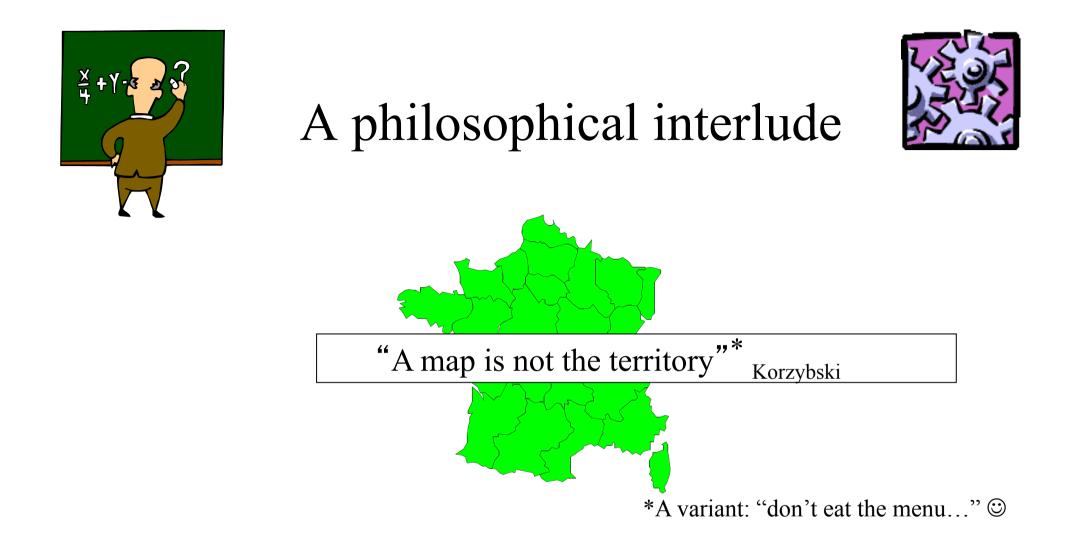


2 - Testing output input HSST, Halmstad 12

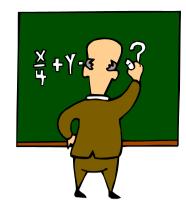
One tests SYSTEMS A system is a dynamic

- A system is a dynamic entity, *embedded in the physical world*
- It is *observable* via some limited interface/procedure
- It is not always *controllable*
- It is quite different from a piece of text (formula, program) or a diagram

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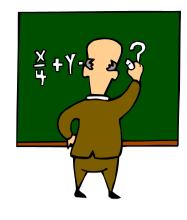
#### A program text, or a specification text, or a model, is not the system



### Black-Box Testing



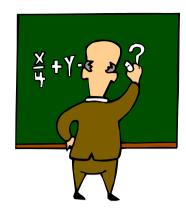
- Black-Box Testing:
  - the internal organisation of the SUT (System Under Test) is not known
- However,
  - Implicitely or explicitely, one considers a class of "testable implementations" => notion of *Testability Hypotheses* on the SUT





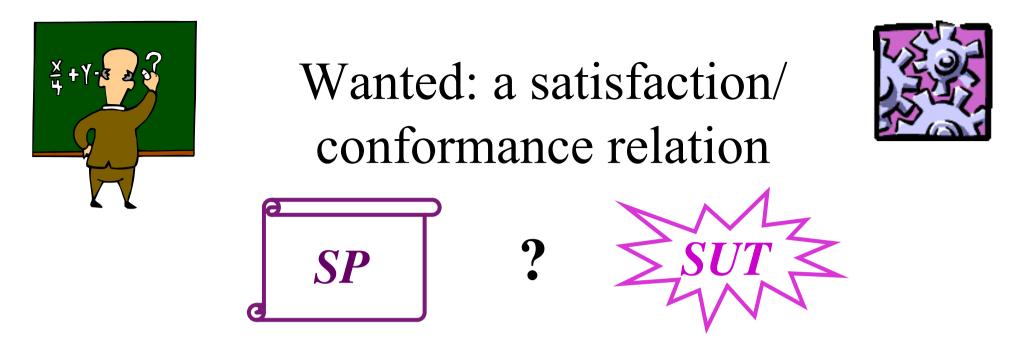


- If the SUT can be *any demonic system*, there is no sensible way of testing it ⊗
- Fortunately, *some basic assumptions are feasible* (example: correct implementation of booleans and bounded integers, determinism, ...)
- Some others can be *verified in another way*: static checks on the program, preliminary tests, a priori knowledge of the environment...

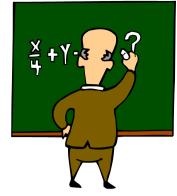




### FORMAL SPECIFICATIONS AND TESTING



- Given some "testable" *SUT*, what does it mean that it satisfies *SP*?
- What is the correctness reference? Is there an "exhaustive" (or "complete") set of tests?
- *SP* is some sort of *model or formula*; *SUT* is some sort of *system*; how to define "*SUT sat SP*" or "*SUT conf SP*" in such an heterogeneous context?

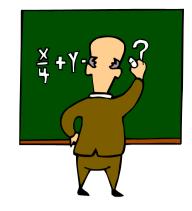


### A generic testability hypothesis



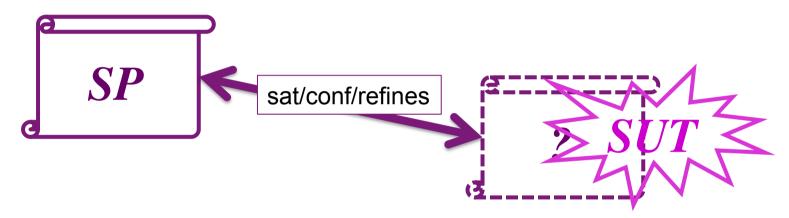
- "The SUT corresponds to some unknown formal specification in the same formalism as specification SP"
  - If SP is a FSM, SUT behaves like some FSM
  - If SP is a formula, the symbols of the formula can be interpreted by SUT
  - If SP is a process, SUT can be observed as a process, with traces and deadlocks
- Notation: *[SUT]*

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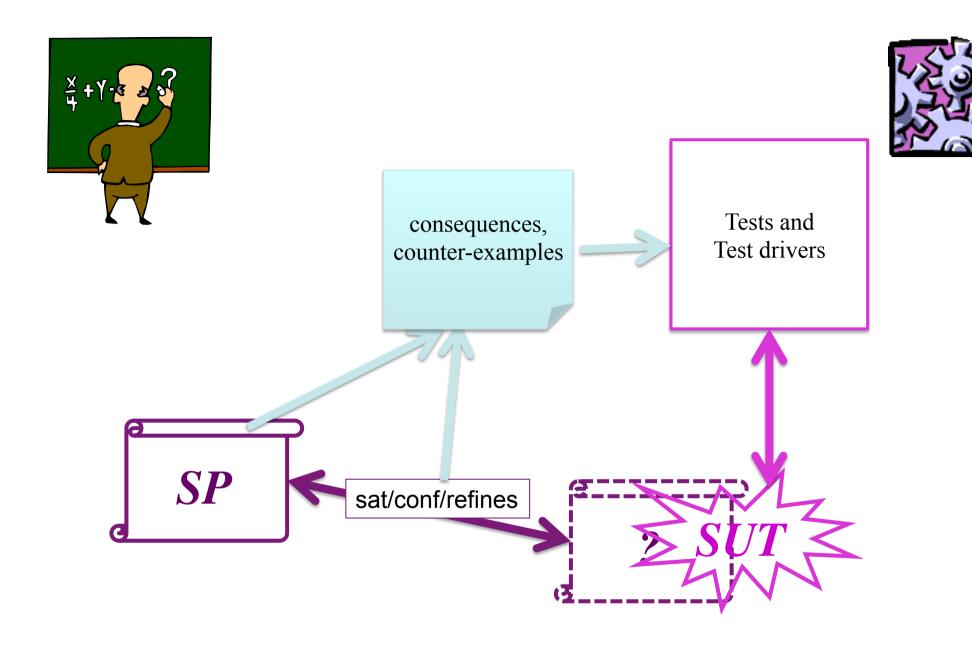
# Back to well-established relations

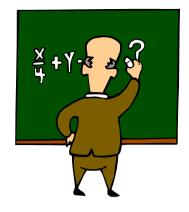




For instance, the *satisfaction/conformance* relation is

- equivalence for FSM,
- logical satisfaction for formulas,
- refinement for processes,
- *ioco* for LTS...



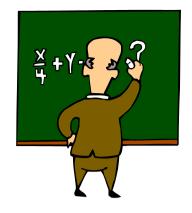


# Illustration: testing against *traces refinement* in CSP



 $Counter_2 = add \rightarrow C_1$  $C_1 = add \rightarrow C_2 \begin{bmatrix} \\ \\ \end{bmatrix} sub \rightarrow Counter_2$  $C_2 = \bar{s}u\bar{b} \rightarrow C_1$ 

Traces of Counter<sub>2</sub> <> $\langle add \rangle$ < add.add ><add,sub> <add,add,sub> . . .



# Illustration: testing against traces refinement in CSP

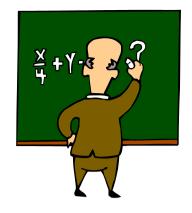


 $Counter_{2} = add \rightarrow C_{1}$   $C_{1} = add \rightarrow C_{2} [ ]sub \rightarrow Counter_{2}$   $C_{2} = sub \rightarrow C_{1}$ 

Traces of *Counter*<sup>2</sup> <> $\langle add \rangle$  $\langle add.add \rangle$ <add.sub> <add,add,sub>

Forbidden traces <sub> <add,add,add> <add,sub,sub> ....

 $test1 = pass \rightarrow sub \rightarrow fail \rightarrow STOP$  $test2 = inc \rightarrow add \rightarrow inc \rightarrow add \rightarrow pass \rightarrow add \rightarrow fail \rightarrow STOP$  $test3 = inc \rightarrow add \rightarrow inc \rightarrow sub \rightarrow pass \rightarrow sub \rightarrow fail \rightarrow STOP$ 



# Illustration: testing against traces refinement in CSP



 $Counter_{2} = add \rightarrow C_{1}$   $C_{1} = add \rightarrow C_{2} [ ]sub \rightarrow Counter_{2}$   $C_{2} = sub \rightarrow C_{1}$ 

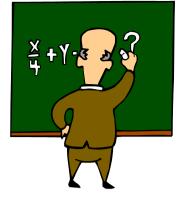
Traces of *Counter*, <> $\langle add \rangle$  $\langle add.add \rangle$ <add.sub> <add,add,sub>

Forbidden traces <sub> <add,add,add> <add,sub,sub> ...

 $test1 = pass \rightarrow sub \rightarrow fail \rightarrow STOP$  $test2 = inc \rightarrow add \rightarrow inc \rightarrow add \rightarrow pass \rightarrow add \rightarrow fail \rightarrow STOP$  $test3 = inc \rightarrow add \rightarrow inc \rightarrow sub \rightarrow pass \rightarrow sub \rightarrow fail \rightarrow STOP$ 

Test submissions SUT |[add,sub]| test1 \ [add,sub] SUT |[add,sub]| test2 \ [add,sub] SUT |[add,sub]| test3 \ [add,sub]

Oracle: the last observed event is not *fail* 



# Exhaustive test set for traces refinement of CSP

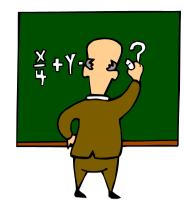


Let us consider the Test Set:

**Exhaust**<sub>T</sub> (**SP**) = {  $T_T(s, a) | s \in traces(SP) \land \neg a \in initials(SP/s)$ } where  $T_T(s, a) = inc \rightarrow a_1 \rightarrow inc \rightarrow a_2 \rightarrow inc \dots a_n \rightarrow pass \rightarrow a \rightarrow fail \rightarrow STOP$ for  $s = \langle a_1, a_2, \dots, a_n \rangle$ .

For any test *T*, its execution against *SUT* is specified as:  $Execution_{SP,SUT}(T) = (SUT | [ \alpha SP ] | T ) | \alpha SP$ 

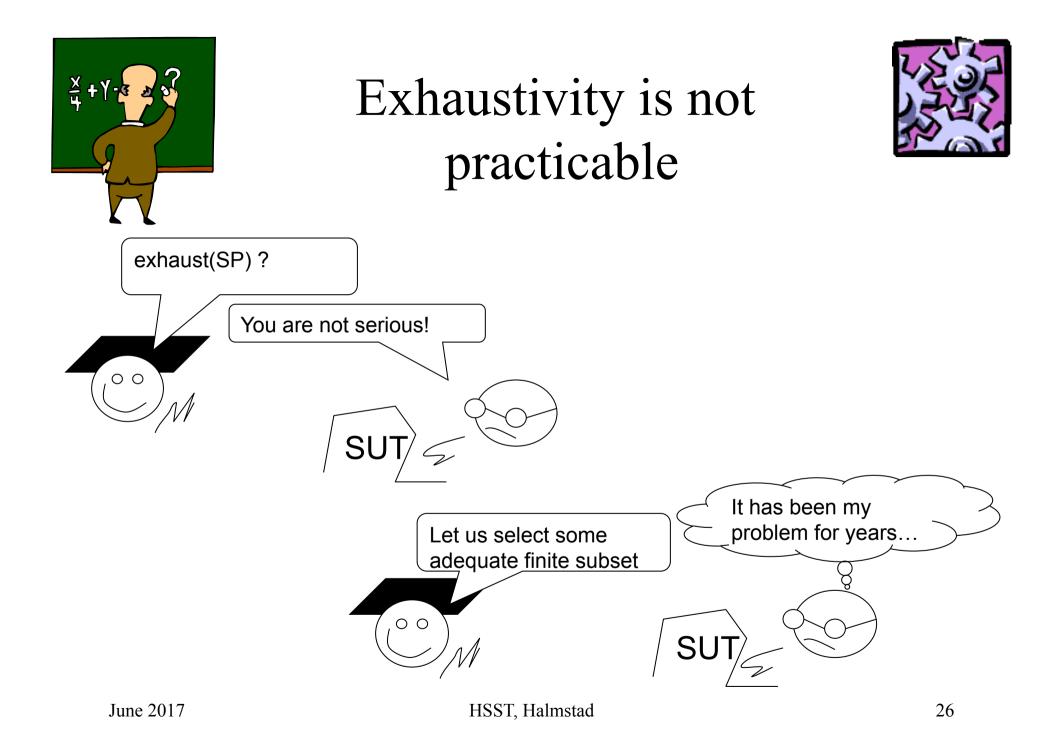
**Theorem (Cavalcanti Gaudel 2007) :**  SUT is a traces refinement of SP iff  $\forall T_T(s, a) \in Exhaust_T(SP), \forall t \in traces (Execution_{SP,SUT}(T_T(s, a))), \forall t \in traces (Execution_{SP,SUT}(T_T(s, a)))), \forall t \in traces (Execution_{SP,SUT}(T_T(s, a))))$ 

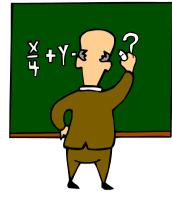


# The corresponding testability hypotheses



- *SUT* behaves like a CSP process
  - With the same alphabet of actions as SP
  - The actions and events are atomic
- If *SUT* is non-determinist, it satisfies the classical *complete testing assumption*

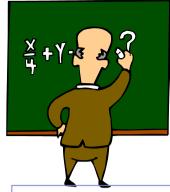




### Selection



- How to select finite subsets of *Exhaust*<sub>SP</sub>?
- *Test Set Selection* is based on the specification (of course, it's Black Box Testing!)
- Among the solutions:
  - Uniformity hypotheses
  - Regularity hypotheses
  - Others ...

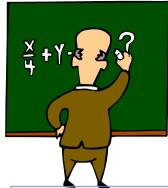






 $\begin{aligned} Replicator &= c? x : Int \rightarrow d! x \rightarrow Replicator \\ FreshInt(n: Int) &= c! n \rightarrow FreshInt(n+1) \\ (FreshInt(0) | [c] | Replicator) \setminus c \text{ parallel composition} \\ \text{with hidden synchronisation on } c \end{aligned}$   $\begin{aligned} \text{Traces of Replicator} &<> \\ <c.0> \\ <c.0> \\ <c.1> \dots \\ <c.0, d.0> \\ <c.1, d.1> \dots \\ <c.0, d.0, c.7> \dots \end{aligned}$ 

Forbidden symbolic traces  $\langle d.v \rangle \forall v \in Int$   $\langle c.v, d.w \rangle \forall v, w \in Int, v \neq w$   $\langle c.v, c.w \rangle \forall v, w \in Int$   $\langle c.v, d.v, d.w \rangle \forall v, w \in Int$   $\langle c.v, d.v, c.w, d.u \rangle \forall v, w, u \in Int, w \neq u$ ....



. . .

### An example from CSP

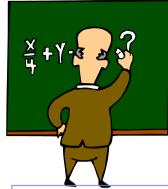


Traces of Replicator  $Replicator = c?x: Int \rightarrow d!x \rightarrow Replicator$ <> $FreshInt(n:Int) = c!n \rightarrow FreshInt(n+1)$  $< c \ 0 >$ <c 1>  $(FreshInt(0)|[c]|Replicator) \setminus c$  parallel composition <c.0.d.0> <c.1,d.1>... with hidden synchronisation on c

<c.0,d.0,c.7>...

Forbidden symbolic traces  $< d.v > \forall v \in Int$  $< c.v, d.w > \forall v,w \in Int, v \neq w$  $<_{c.v.} c.w > \forall v.w \in Int$  $<_{c.v.} d.v. d.w > \forall v.w \in Int$  $< c.v. d.v. c.w. d.u > \forall v.w.u \in Int, w \neq u$  No condition on *v*: an arbitrary value will do => Uniformity Hypothesis

There is one condition on w:  $v \neq w$ . Any value satisfying it will do => Uniformity Hypothesis, etc



. . .

### An example from CSP



*Replicator* = c? x : *Int*  $\rightarrow$  d!x  $\rightarrow$  *Replicator* 

 $FreshInt(n:Int) = c!n \rightarrow FreshInt(n+1)$ 

 $(FreshInt(0)|[c]|Replicator) \ c$  parallel composition

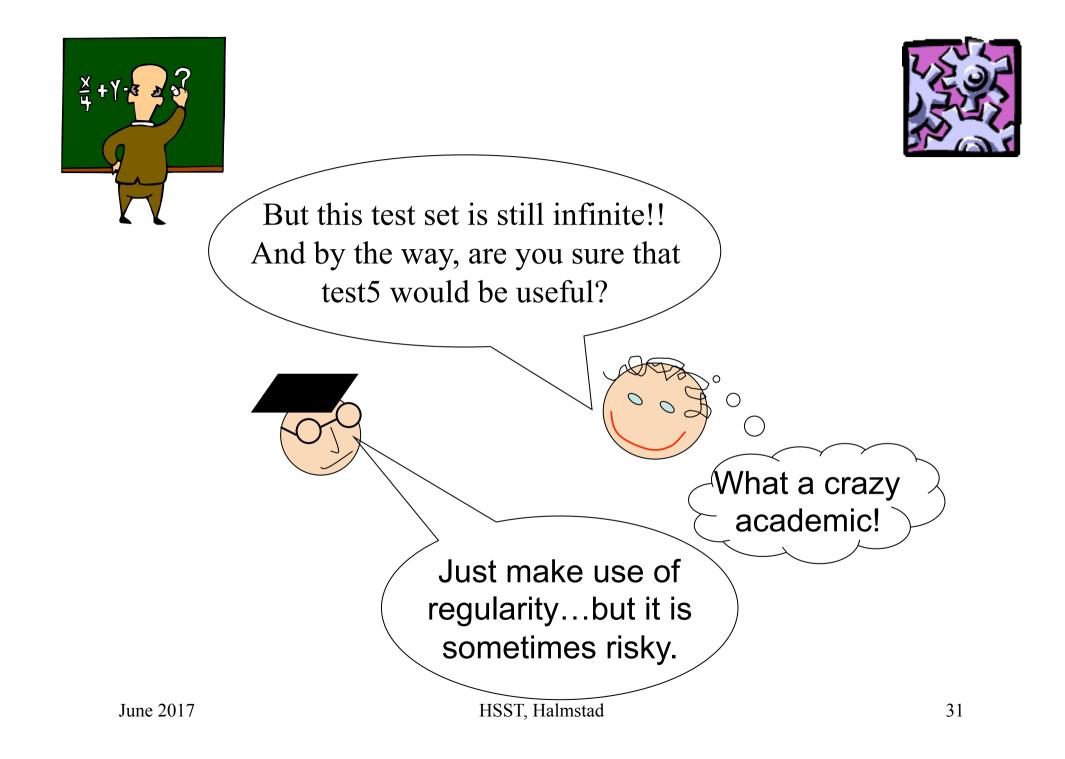
with hidden synchronisation on *c* 

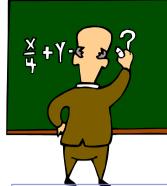
Traces of Replicator <> <c.0> <c.1>... <c.0,d.0> <c.1,d.1>... ...<c.0,d.0,c.7>...

Forbidden symbolic traces  $\langle d.v \rangle \forall v \in Int$   $\langle c.v, d.w \rangle \forall v, w \in Int, v \neq w$   $\langle c.v, c.w \rangle \forall v, w \in Int$   $\langle c.v, d.v, d.w \rangle \forall v, w \in Int$  $\langle c.v, d.v, c.w, d.u \rangle \forall v, w, u \in Int, w \neq u$ 

No condition on *v*: an arbitrary value will do => Uniformity Hypothesis => test1 There is one condition on *w*:  $v \neq w$ . Any value satisfying it will do => Uniformity Hypothesis => test2, etc

 $test1 = pass \rightarrow d.127 \rightarrow fail \rightarrow STOP$   $test2 = inc \rightarrow c.0 \rightarrow pass \rightarrow d.17 \rightarrow fail \rightarrow STOP$   $test3 = inc \rightarrow c.4 \rightarrow pass \rightarrow c.1024 \rightarrow fail \rightarrow STOP$   $test4 = inc \rightarrow c.78 \rightarrow inc \rightarrow d.78 \rightarrow pass \rightarrow d.46 \rightarrow fail \rightarrow STOP$  $test5 = \dots$ 





. . .

# An example of regularity hypothesis



 $\tilde{R}eplicator = c?x: Int \rightarrow d!x \rightarrow Replicator$ 

 $FreshInt(n:Int) = c!n \rightarrow FreshInt(n+1)$ 

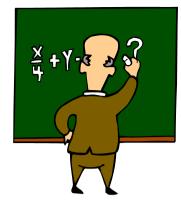
 $(FreshInt(0)|[c]|Replicator) \ c$  parallel composition

with hidden synchronisation on c

Traces of Replicator <> <c.0> <c.1>... <c.0,d.0> <c.1,d.1>... <c.0,d.0,c.7>...

Forbidden symbolic traces  $< d.v > \forall v \in Int$   $< c.v, d.w > \forall v, w \in Int, v \neq w$   $< c.v, c.w > \forall v, w \in Int$   $< c.v, d.v, d.w > \forall v, w \in Int$  $< c.v, d.v, c.w, d.u > \forall v, w \in Int$  There is no dependency between the recursive calls of *Replicator*. There is no shared state. ⇒ If the SUT is determinist, one execution is sufficient => Regularity Hypothesis => **Finite Test Set** 

 $test1 = pass \rightarrow d.127 \rightarrow fail \rightarrow STOP$  $test2 = inc \rightarrow c.0 \rightarrow pass \rightarrow d.17 \rightarrow fail \rightarrow STOP$  $test3 = inc \rightarrow c.4 \rightarrow pass \rightarrow c.1024 \rightarrow fail \rightarrow STOP$  $test4 = inc \rightarrow c.78 \rightarrow inc \rightarrow d.78 \rightarrow pass \rightarrow d.46 \rightarrow fail \rightarrow STOP$ 



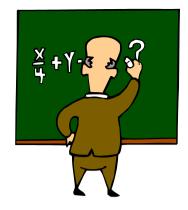
### Selection Hypotheses



- Addition to Testability Hypotheses: *Selection Hypotheses* on the SUT
- Uniformity Hypothesis
  - $\Phi(X)$  is a property, SUT is a system, D is a sub-domain of the domain of X
  - $(\forall t_0 \in D) (\llbracket SUT \rrbracket sat \Phi(t_0) \Rightarrow (\forall t \in D) (\llbracket SUT \rrbracket \models \Phi(t)))$
  - Determination of sub-domains ? guided by the specification, see later...
- Regularity Hypothesis
  - $-\left(\left(\forall t \in Dom(X), \left|t\right| \leq k \Rightarrow [SUT] \text{ sat } \Phi(t)\right)\right) \Rightarrow$

 $(\forall t \in Dom(X) ([SUT]] sat \Phi(t))$ 

- Determination of |t|? cf. specification



### Selection of finite test sets



• "Selection Hypotheses" *H* on *SUT*, and construction of practicable test sets *T* such that:

```
H holds for SUT =>
(SUT passes T <=> [[SUT]]
sat SP)
```

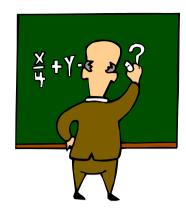
- <*H*, *T*> is a valid and unbiased Test Context
- or: *T* is complete w.r.t. *H* June 2017

<SUT testable, exhaust(SP) >

<Weak Hyp, Big Test Set>

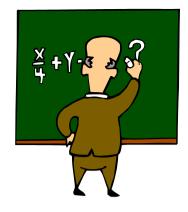
<Strong Hyp, Small TS>

SUT correct, Ø





### SOME BASIC TECHNIQUES FOR CASE SPLITTING

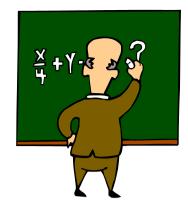


### "Invention" of selection hypotheses



Several possibilities:

- Guided by the conditions that appear in the specification : case analysis, case splitting
- Or guided by some knowledge of the operational environment
- Or guided by some fault model
- Or guided by the syntax (coverage criteria)



### Case splitting



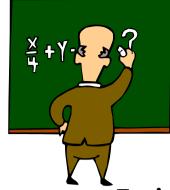
Two main techniques:

- Reduction of formulas into Disjunctive Normal Form (DNF) [Dick & Faivre 1993]
- Unfolding of recursive definitions [Burstall & Darlington 1977]

Implementations:

- Conditional rewriting, Narrowing
- Symbolic evaluation

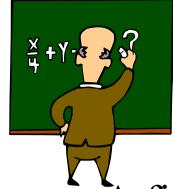
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### DNF?



- It is a disjunction (sequence of ORs) consisting of one or more disjuncts, each of which is a conjunction (AND) of one or more literals (i.e., statement letters and negations of statement letters; Mendelson 1997, p. 30)
- ^, v, and ¬ are the only logical operators, ¬ is the most internal, then ^, then v
- Intuitively, this gives a list of disjoint test cases.



### More on DNF



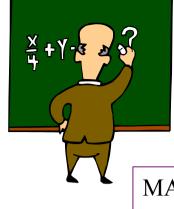
A first example of DNF decomposition:

 $(p \lor q) \rightarrow \neg r \Leftrightarrow (p \land q \land \neg r) \lor (p \land \neg q \land \neg r) \lor (\neg p \land q \land \neg r)$  $v (\neg p \land \neg q \land r) v (\neg p \land \neg q \land \neg r)$ 

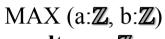
- Basic rules:
  - $-(p \vee q)$  is decomposed into 3 disjoint cases:  $p \wedge q$ ,  $p \wedge \neg q, \neg p \wedge q$
  - $-(A \rightarrow B)$  is decomposed into  $\neg A$  and  $A \land B$

-  $\neg$   $\neg$  are eliminated

• Not very difficult, but...exponential explosion June 2017 HSST, Halmstad



# Example of the reduction of pre/post-conditions



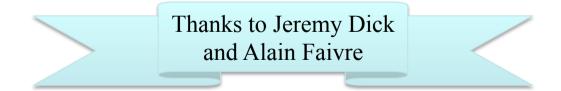
result max: **Z** 

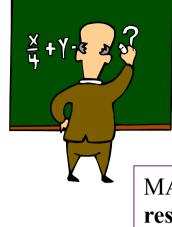
pre true

**post** (max=a  $\lor$  max=b)  $\land$  max≥a  $\land$  max≥b

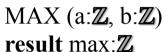
(conjunction of pre-condition, post-condition and state Invariant, if any) =>

true ∧(max=a ∨ max=b)∧ max≥a ∧ max≥b





# Example of the reduction of pre/post-conditions



pre true

**post** (max=a  $\lor$  max=b)  $\land$  max≥a  $\land$  max≥b

(conjunction of pre-condition, post-condition and state Invariant, if any) =>

true ∧(max=a ∨ max=b)∧ max≥a ∧ max≥b

(simplification of "true  $\land ...$ ") =>

(max=a V max=b)∧ max≥a ∧ max≥b

	Example of the reduction of pre/post-conditions			
MAX (a: $\mathbb{Z}$ , b: $\mathbb{Z}$ ) result max: $\mathbb{Z}$ pre true post (max=a $\lor$ max=b) $\land$ max≥a $\land$ max≥b	(conjunction of pre-condition, post-condition and state Invariant, if any) =>			
true ∧(max=a ∨ max=b)∧ max≥a ∧ max≥b (si	mplification of "true $\land$ ") =>			
(max=a V max=b)∧ max≥a ∧ max≥b (distribu	ution of $\vee$ ) =>			
(max=a ∧ max≥a ∧ max≥b) ∨ (max=b ∧ max≥a	∧ max≥b)			

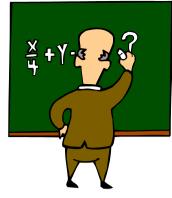
× +'

×++Y	Example of the of pre/post-co		
	MAX (a:ℤ, b:ℤ) result max:ℤ pre true post (max=a ∨ max=b)∧ max≥a ∧ max≥b	(conjunction of pre-condition, post-condition and state Invariant, if any) =>	
true $\land$ (max=a $\lor$ max=b) $\land$ max≥a $\land$ max≥b (simplification of "true $\land$ …") =>			
(max=a V max=b) $\land$ max≥b (distribution of V ) =>			
(max=a $\land$ max≥a $\land$ max≥b) $\lor$ (max=b $\land$ max≥a $\land$ max≥b) (decomposition of $\lor$ ) =>			
(max=a /	∖max=b Λ max≥a Λ max≥b)∨ ∖max≠b Λ max≥a Λ max≥b) V ∖max=b Λ max≥a Λ max≥b)		

Example of the reduction of pre/post-conditions			
MAX (a:ℤ, b:ℤ) result max:ℤ pre true post (max=a ∨ max=b)∧ max≥a ∧ m	(conjunction of pre-condition, post-condition and state Invariant, if any) =>		
true $\land$ (max=a $\lor$ max=b) $\land$ max≥a $\land$ max≥b (simplification of "true $\land$ …") =>			
(max=a $\lor$ max=b) $\land$ max≥a $\land$ max≥b (distribution of $\lor$ ) =>			
$(max=a \land max \ge a \land max \ge b) \lor (max=b \land max \ge a \land max \ge b) (decomposition of \lor) =>$			
(max=a ∧max=b ∧ max≥a ∧ max≥b)∨ (max=a ∧max≠b ∧ max≥a ∧ max≥b) ∨ (max≠a ∧max=b ∧ max≥a ∧ max≥b)	simplifications) => (max=a ∧max=b)∨ (max=a ∧max>b) ∨ (max=b ∧ max>a)		

×.

3 test cases: {(a=b, max=a=b), (a>b, max = a), (b>a, max =b)} Thus, 3 uniformity sub-domains + oracles.



### Unfolding



- Unfolding is a classical technique for transforming (and understanding) recursive definitions
- It is just replacement of *f(op(x))* by the definition(s) of *f*, with adequate renaming of variables
  - $fact(n) =_{def} if n = 0$  then 1 else n\*fact(n-1) becomes:
  - $fact(n) =_{def} if n=0 then 1 else if (n-1)=0 then n*1 else n*(n-1)*fact(n-2)$ 
    - i.e. fact(n) =<sub>def</sub> if n=0 then 1 else if n=1 then 1
       else n\*(n-1)\*fact(n-2)
  - etc
  - Going on, the definition of the *fact* function is replaced by its graph, i.e. its *exhaustive test set* ☺...