Static Program Reduction via Specification Slicing

Martin Kellogg New Jersey Institute of Technology

I'm getting many those errors while the project was already compilable with 3.35.

For example, I had to do this to stop this error being reported: <u>apache/cassandra@</u> <u>7b3c4ce</u> <u>#diff-</u> 234d3942bee540163239ada08e27e2aee864d00a3a5f356f570e18684d1bae03R167

It is weird because neither Iterator nor PaxosKeyState has any @MustCall obligations. I get that in many more places, yet I don't see any pattern.

Another example (which you can reproduce because I haven't fixed that yet: ant cf-only - Dcf.check.only=org/apache/cassandra/index/sasi/conf/IndexMode.java):

```
[javac] /home/jlewandowski/dev/cassandra/c18239-static-analysis/src/java/org/apache/
[javac] String literalOption = indexOptions.get(INDEX_IS_LITERAL_OPTION),
[javac] ^
[javac] The type of object is: java.lang.String.
[javac] Reason for going out of scope: regular method exit
```

where indexOptions is a method parameter of type Map<String, String>.

I'm getting many those errors while the project was already compilable with 3.35.

For example, I had to do this to stop this error being reported:



kelloggm commented on Jul 5, 2023

Member

These are caused by the soundness fix in <u>#5912</u>, which closed a (frankly pretty serious) bug related to how type variables are handled. We expected it to introduce a few false positives, but from your description it sounds like the impact is more serious than we'd anticipated. I'm sorry about that - I made a judgment call that the number of false positives would probably be worth fixing the soundness problem, but it sounds from your description that, at least in your case, that's not how it seemed.

I'll look into the specific examples that you cited (in IndexMode.java and the one you fixed in PaxosUncommittedTracker.java) and see if I can build small versions that I can use as test cases. If so, I might be able to improve these to avoid issuing too many of these errors.



I'm getting many those errors while the project was already compilable with 3.35.

For example, I had to do this to stop this error being reported:



kelloggm commented on Jul 5, 2023

Member ····

These are caused by the soundness fix in <u>#5912</u>, which closed a (frankly pretty serious) bug related to how type variables are handled. We expected it to introduce a few false positives, but from your description it sounds like the impact is more serious than we'd anticipated. I'm sorry about that - I made a judgment call that the number of false positives would probably be worth fixing the soundness problem, but it sounds from your description that, at least in your case, that's not how it seemed.

I'll look into the specific examples that you sited (in IndexMode.java and the one you fixed in PaxosUncommittedTracker.java) and see if I can build small versions that I can use as test cases. I so, I might be able to improve these to avoid issuing too many of these errors.

where the volutions is a method barameter of type hap-strainy, strainy-.

- Typical scenario:
 - you are the **developer** of some useful static analysis

- Typical scenario:
 - you are the **developer** of some useful static analysis
 - a user encounters a problem with your analysis (e.g., a crash, wrong output, etc.)

- Typical scenario:
 - you are the **developer** of some useful static analysis
 - a user encounters a problem with your analysis (e.g., a crash, wrong output, etc.)
 - the user helpfully reports the bug
 - but usually without a small, reproducible test case

- Underlying algorithm: delta debugging
 - uses divide-and-conquer to find a minimal "interesting" subset of a given target set
 - relies on the presence of a runnable oracle for "interesting"

- Underlying algorithm: delta debugging
 - uses divide-and-conquer to find a minimal "interesting" subset of a given target set
 - relies on the presence of a runnable oracle for "interesting"
- Program reduction today:
 - "interesting" = "does running the analysis on the program cause the bug we're interested in"
 - C-Reduce, Perses (ICSE 2018) work this way

Delta-debugging based program reduction is a dynamic analysis
 that is, it requires us to run the analysis that we are debugging repeatedly

- Delta-debugging based program reduction is a dynamic analysis
 that is, it requires us to run the analysis that we are debugging repeatedly
 - works fine for *fast, easy-to-deploy* analyses (e.g., a C compiler)

- Delta-debugging based program reduction is a dynamic analysis
 that is, it requires us to run the analysis that we are debugging repeatedly
 - works fine for *fast*, *easy-to-deploy* analyses (e.g., a C compiler)
 - what about heavier-weight analyses (e.g., program verifiers)?
 - e.g., the analysis in the example at the beginning is a "fast" resource leak verifier, but it still runs in tens of minutes on realistically-sized Java programs

- Delta-debugging based program reduction is a dynamic analysis
 that is, it requires us to run the analysis that we are debugging repeatedly
 - works fine for *fast, easy* compiler)
 Result: in practice, analysis developers don't use program
 - what about **heavier-weigh** reduction (it's too slow)
 - e.g., the analysis in the example at the segments is a rank resource leak verifier, but it still runs in tens of minutes on realistically-sized Java programs

Static program reduction

- For any given *dynamic* analysis, there is usually a *static* analysis that could achieve the same goal (and vice-versa)
 - but usually with different tradeoffs

Static program reduction

- For any given *dynamic* analysis, there is usually a *static* analysis that could achieve the same goal (and vice-versa)
 - but usually with different tradeoffs
 - a static program reduction technique wouldn't have to scale with the cost of running the underlying analysis

Static program reduction

- For any given *dynamic* analysis, there is usually a *static* analysis that could achieve the same goal (and vice-versa)
 - but usually with different tradeoffs
 - a static program reduction technique wouldn't have to scale with the cost of running the underlying analysis
- What are the barriers to **static program reduction**?
 - if we can't run the analysis whose output we're trying to preserve, how do what know what parts of the program can be removed?

Key insight: modularity

Key insight: modularity

- most analyses we're interested in are modular
 - that is, for performance reason they don't perform arbitrary interprocedural analysis

Key insight: modularity

- most analyses we're interested in are modular
 - that is, for performance reason they don't perform arbitrary interprocedural analysis
- if we can **formalize modularity**, we can use that definition to build a static program reducer:
 - intuitively, modularity tells us what other program elements can be considered when analyzing a target program element

Specification slicing

Key theorem: a specification slicer preserves the *compile-time behavior* of a target program *P* (with respect to a modular program analysis V) at some target program location *L*

Specification slicing

Key theorem: a specification slicer preserves the *compile-time behavior* of a target program *P* (with respect to a modular program analysis V) at some target program location *L*

cf. "traditional" slicing: a "traditional" slicer preserves the *run-time behavior* of a target program *P* (with respect to concrete execution) at some target program location *L*

Specification slicing

Key theorem: a specification slicer preserves the *compile-time behavior* of a target program *P* (with respect to a modular program analysis V) at some target program location *L*

cf. "traditional" slicing: a "traditional" slicer preserves the *run-time behavior* of a target program *P* (with respect to concrete execution) at some target program location *L*

You can think of this as "abstract" slicing, in the sense of "abstract interpretation"

Input: program *P*, location *L* in *P*, and **definition of modularity** *M*

Input: program *P*, location *L* in *P*, and **definition of modularity** *M*

• *M* is a **syntax-directed map** from kinds of program elements to related program elements that the analysis of interest considers

Input: program *P*, location *L* in *P*, and **definition of modularity** *M*

M is a syntax-directed map from kinds of program elements to related program elements that the analysis of interest considers
 e.g., M("field read expression") might be "field's declaration, type of field, receiver expression"

Input: program P, location L in P, and definition of modularity M

- *M* is a **syntax-directed map** from kinds of program elements to related program elements that the analysis of interest considers
 - e.g., *M*("field read expression") might be "field's declaration, type of field, receiver expression"
 - *M* can be reused between similar analyses
 - e.g., javac, Checker Framework, OpenJML all have approximately the same *M* for Java

Input: program P, location L in P, and definition of modularity M

Algorithm:

Input: program P, location L in P, and definition of modularity M

Algorithm:

```
worklist = all elements e in L's scope
```

```
slice = \emptyset
```

```
while (worklist is not empty):
```

```
toPreserve = worklist.pop()
```

```
if (!slice.contains(toPreserve)):
```

```
slice.add(toPreserve)
```

```
worklist.add(M(toPreserve))
```

return slice

Specification slicing: examples

- remove bodies of used methods
- primitive field reads
- a more complex example with unsolved symbols

So far, we have assumed that all symbols are solvable
 that is, we're assuming that we have the whole program

- So far, we have assumed that all symbols are solvable
 that is, we're assuming that we have the whole program
- In practice, we often **can't easily access** the whole program
 - e.g., it would require human effort to go collect the classpath of the target program in the example
 - need to run the build tool, etc.

- So far, we have assumed that all symbols are solvable
 that is, we're assuming that we have the whole program
- In practice, we often **can't easily access** the whole program
 - e.g., it would require human effort to go collect the classpath of the target program in the example
 - need to run the build tool, etc.
 - this is an impediment to using a specification slicer in a fully-automated system

• An advantage of static program reduction is that we can minimize **incomplete programs**

- An advantage of static program reduction is that we can minimize **incomplete programs**
 - however, minimizing an incomplete program may introduce ambiguity into the modularity model

Specification slicing: unsolvable symbols

- An advantage of static program reduction is that we can minimize **incomplete programs**
 - however, minimizing an incomplete program may introduce ambiguity into the modularity model
 - for example, suppose the program reads a field that's not defined. More than one option for where to put that field:
 - the immediate superclass
 - the superclass' superclass
 - etc.

Specification slicing: exact vs approximate

• Our practical specification slicer has two modes:

Specification slicing: exact vs approximate

- Our practical specification slicer has two modes:
 - *exact mode*: access to the whole program is assumed, and the slicer relies on that to find the definitions of program elements
 - this mode works just like the algorithm a few slides ago

Specification slicing: exact vs approximate

- Our practical specification slicer has two modes:
 - exact mode: access to the whole program is assumed, and the slicer relies on that to find the definitions of program elements
 this mode works just like the algorithm a few slides ago
 - *approximate mode*: when the slicer finds a symbol it can't solve, it generates a program element that makes sense in context
 - uses heuristics to deal with ambiguity
 - but not guaranteed to preserve analysis behavior (even if modularity model is correct) if there is ambiguity

Specification slicing: project status

- We have a prototype for modular analyses of Java:
 <u>https://github.com/kelloggm/specimin</u>
- Currently dealing with:

Specification slicing: project status

- We have a prototype for modular analyses of Java:
 https://github.com/kelloggm/specimin
- Currently dealing with:
 - a long tail of engineering effort to get the approximate mode heuristics right

Specification slicing: project status

- We have a prototype for modular analyses of Java:
 https://github.com/kelloggm/specimin
- Currently dealing with:
 - a long tail of engineering effort to get the approximate mode heuristics right
 - getting the modularity model formalization exactly right
- But we are getting closer to both

• Zooming out, why study program reduction?

Zooming out, why study program reduction?
 it's a useful debugging tool for analyses on its own, but...

- Zooming out, why study program reduction?
 it's a useful debugging tool for analyses on its own, but...
- A fast program reduction technique that is guaranteed to preserve analysis behavior **unlocks interesting use cases**!

- Zooming out, why study program reduction?
 it's a useful debugging tool for analyses on its own, but...
- A fast program reduction technique that is guaranteed to preserve analysis behavior **unlocks interesting use cases**!
 - staying under the token limit when combining an LLM + a modular analysis
 - analyzing only a changeset instead of the whole program
 - running an analysis in a tight loop

- Zooming out, why study program reduction?
 it's a useful debugging tool for analyses on its own, but...
- A fast program reduction technique that is guaranteed to preserve analysis behavior **unlocks interesting use cases**!
 - staving under the token limit when combining an LLM + a
 I'll talk about these if you ask
 - analyzing only a changeset instead of the whole program
 - running an analysis in a tight loop

- Zooming out, why study program reduction?
 it's a useful debugging tool for analyses on its own, but...
- A fast program reduction technique that is guaranteed to prese definitely going to talk about this use cases!

 staying under the token limit when combining an LLM + a modular analysis

- analyzing only a changeset instead of the whole program
- running an analysis in a tight loop

• There's a lot of excitement around the idea of combining LLMs + sound program analyzers (CEGAR-style)

- There's a lot of excitement around the idea of combining LLMs + sound program analyzers (CEGAR-style)
- One hurdle so far is the token limit of LLMs
 - realistically-sized programs don't fit!

- There's a lot of excitement around the idea of combining LLMs + sound program analyzers (CEGAR-style)
- One hurdle so far is the token limit of LLMs
 - realistically-sized programs don't fit!
- **Program reduction** is an obvious solution to this problem

- There's a lot of excitement around the idea of combining LLMs + sound program analyzers (CEGAR-style)
- One hurdle so far is the token limit of LLMs
 - realistically-sized programs don't fit!
- **Program reduction** is an obvious solution to this problem
 - but traditional program reduction techniques are slow

- There's a lot of excitement around the idea of **combining LLMs + sound program analyzers** (CEGAR-style)
- One hurdle so far is the token limit of LLMs
 realistically-sized programs don't fit!
 - Program reduction is an obvious solution to this problem
 - but traditional program reduction techniques are slow
 - for **fully-automated** systems, static program reduction:
 - allows the verifier to interact honestly with the LLM
 - is faster than dynamic techniques and can operate on incomplete programs

- Consider a system that:
 - chooses a method in an open-source project

- Consider a system that:
 - chooses a method in an open-source project

Note that an approximate slicer means we **don't even need to be able to build** the target project it can be anything!

- Consider a system that:
 - chooses a method in an open-source project
 - uses static program reduction to shrink that method

- Consider a system that:
 - chooses a method in an open-source project
 - uses static program reduction to shrink that method
 - runs a verification tool on the method, making pessimistic assumptions about the input

- Consider a system that:
 - chooses a method in an open-source project
 - uses static program reduction to shrink that method
 - runs a verification tool on the method, making pessimistic assumptions about the input
 - if there is a warning, calls an LLM and asks it to write a patch

- Consider a system that:
 - chooses a method in an open-source project
 - uses static program reduction to shrink that method
 - runs a verification tool on the method, making pessimistic assumptions about the input
 - if there is a warning, calls an LLM and asks it to write a patch
 - re-runs the verifier on the patch

- Consider a system that:
 - chooses a method in an open-source project
 - uses static program reduction to shrink that method
 - runs a verification tool on the method, making pessimistic assumptions about the input
 - if there is a warning, calls an LLM and asks it to write a patch
 - re-runs the verifier on the patch
 - if it passes, we have fully-automatically produced a bug-fixing pull request

- Consider this bug in Netflix's spinnaker project: <u>https://github.com/spinnaker/spinnaker/issues/6856</u>
- Static program reduction would produce:

```
import java.util.concurrent.*;
import java.util.concurrent.locks.Lock;
import org.checkerframework.framework.qual.DefaultQualifier; // for CF Nullness
import org.checkerframework.framework.qual.TypeUseLocation;
import org.checkerframework.checker.nullness.gual.Nullable;
@DefaultQualifier(value = Nullable.class, locations = TypeUseLocation.PARAMETER)
class CallableCache<Key, Result> {
  private final ConcurrentHashMap<Key, Future<Result>> cache = null;
  private final Lock lock = null;
  private static final org.slf4j.Logger log
     = org.slf4j.LoggerFactory.getLogger(CallableCache.class);
  void clear(Key key) {
    try {
     lock.lock();
     var future = cache.get(key);
      if (future != null && (future.isDone() || future.isCancelled())) {
        cache.remove(key);
        log.debug("Removing element from cache identified by key: " + key);
    } finally {
      lock.unlock();
```

- Consider this bug in Netflix's spinnaker project: <u>https://github.com/spinnaker/spinnaker/issues/6856</u>
- Static program reduction would produce...
- Running a nullability analysis produces:

CallableCache.java:16: error: [argument] incompatible argument for parameter key of ConcurrentHashMap.get.

```
var future = cache.get(key);
```

```
^
```

found : Key extends @Initialized @Nullable Object
required: @Initialized @NonNull Object

- Consider this bug in Netflix's spinnaker project: <u>https://github.com/spinnaker/spinnaker/issues/6856</u>
- Static program reduction would produce...
- Running a nullability analysis produces...
- Prompting an LLM (GPT 3.5) with the code and the warning I've just shown you produces a fix that passes the typechecker:

 Consider this bug in Netflix's spinnaker project: http
 LLM's fix
 Jinnaker/spinnaker/issues/6856

```
void clear(Key key) {
  try {
    lock.lock();
    Key nonNullKey = key;
    if (nonNullKey != null) {
      var future = cache.get(nonNullKey);
      if (future != null && (future.isDone() || future.isCancelled())) {
        cache.remove(nonNullKey);
        log.debug("Removing element from cache identified by key: " + nonNullKey);
   finally {
    lock.unlock();
```

66

- Consider this bug in Netflix's spinnaker project: <u>https://github.com/spinnaker/spinnaker/issues/6856</u>
- Static program reduction would produce...
- Running a nullability analysis produces...
- Prompting an LLM (GPT 3.5) with the code and the warning I've just shown you produces a fix that passes the typechecker...
 - that is very similar to the real human fix that was merged

Consider this bug in Netflix's spinnaker project:
 <u>https://github.com/spinnaker/spinnak</u>

```
void clear(Key key) {
  if (key == null) {
    return;
  try {
    lock.lock();
   var future = cache.get(key);
    if (future != null && (future.isDone() || future.isCancelled())) {
      cache.remove(key);
      log.debug("Removing element from cache identified by key: " + key);
  } finally {
    lock.unlock();
```

- Consider this bug in Netflix's spinnaker project: <u>https://github.com/spinnaker/spinnaker/issues/6856</u>
- Static program reduction would produce...
- Running a nullability analysis produces...
- Prompting an LLM (GPT 3.5) with the code and the warning I've just shown you produces a fix that passes the typechecker...
 - that is very similar to the real human fix that was merged
 - LLM's fix locks and then unlocks the lock unnecessarily :(
 - but could have fixed the bug fully automatically, without any human intervention (except to review the PR)

Summary

• **static program reduction** could be a **useful debugging aid** for modular program analyses

Summary

- **static program reduction** could be a **useful debugging aid** for modular program analyses
 - specification slicing exploits analysis modularity to accomplish static program reduction

Summary

- **static program reduction** could be a **useful debugging aid** for modular program analyses
 - specification slicing exploits analysis modularity to accomplish static program reduction
- fast, sound static program reduction unlocks new use cases:
 - LLMs + analysis for fully-automated code improvement
 - code-review-time verification, verifier-guided refactoring, etc.

Summary

- **static program reduction** could be a **useful debugging aid** for modular program analyses
 - specification slicing exploits analysis modularity to accomplish static program reduction
- **fast**, **sound** static program reduction **unlocks new use cases**:
 - LLMs + analysis for fully-automated code improvement
 - code-review-time verification, verifier-guided refactoring, etc.
- **prototype** specification slicer for Java:
 - <u>https://github.com/kelloggm/specimin</u>

Summary

Thanks to all of my collaborators who have made this work possible: Loi Nguyen, Tahiatul Islam, Jonathan Phillips, Oscar Chaparro, Michael Ernst, et al.

- **static program reduction** could be a **useful debugging aid** for modular program analyses
 - specification slicing exploits analysis modularity to accomplish static program reduction
- **fast, sound** static program reduction **unlocks new use cases**:
 - LLMs + analysis for fully-automated code improvement
 - code-review-time verification, verifier-guided refactoring, etc.
- **prototype** specification slicer for Java:
 - <u>https://github.com/kelloggm/specimin</u>

Backup slides

Backup slides

- Code review time verification
- VGR
- More involved Specimin example in slideware (not finished)

- Modular analyses typically require users to write specifications
 - e.g., type annotations for a pluggable typechecker

- Modular analyses typically require users to write specifications
 e.g., type annotations for a pluggable typechecker
- This is an **obstacle to adoption** of such analyses

- Modular analyses typically require users to write specifications
 e.g., type annotations for a pluggable typechecker
- This is an **obstacle to adoption** of such analyses
 - doesn't match how developers work with legacy code, which happens at changeset granularity (i.e., code review)

- Modular analyses typically require users to write specifications
 e.g., type annotations for a pluggable typechecker
- This is an **obstacle to adoption** of such analyses
 - doesn't match how developers work with legacy code, which happens at changeset granularity (i.e., code review)
- Idea: what if we could analyze just a changeset in isolation?
 - and ask developers to write specs just for what has changed

- Modular analyses typically require users to write specifications
 e.g., type annotations for a pluggable typechecker
- This is an **obstacle to adoption** of such analyses
 - doesn't match how developers work with legacy code, which happens at changeset granularity (i.e., code review)
- Idea: what if we could analyze just a changeset in isolation?
 - and ask developers to write specs just for what has changed
 - we can use our static program reducer to do this

- Modular analyses typically require users to write specifications
 e.g., type annotations for a pluggable typechecker
- This is an **obstacle to adoption** of such analyses
 - doesn't match how developers work with legacy code, which happens at changeset granularity (i.e., code review)
- Idea: what if we could analyze just a changeset in isolation?
 - and ask developers to write specs just for what has changed
 - we can use our static program reducer to do this
 - **key scientific question**: will specs written this way for many changesets **contradict** each other or tend to **converge**?

• Motivation: sound program analyses always have **false positives**

- Motivation: sound program analyses always have **false positives**
- Many **semantics-preserving** program transformations exist

- Motivation: sound program analyses always have **false positives**
- Many **semantics-preserving** program transformations exist
- Idea: if an analysis is sound and can verify any variant of a piece of code created by applying only semantics-preserving transformations, then the code is definitely safe

- Motivation: sound program analyses always have **false positives**
- Many **semantics-preserving** program transformations exist
- Idea: if an analysis is sound and can verify any variant of a piece of code created by applying only semantics-preserving transformations, then the code is definitely safe
 - requires us to run the verifier in a tight loop on many variants

- Motivation: sound program analyses always have **false positives**
- Many **semantics-preserving** program transformations exist
- Idea: if an analysis is sound and can verify any variant of a piece of code created by applying only semantics-preserving transformations, then the code is definitely safe
 - requires us to run the verifier in a tight loop on many variants
 too expensive if we're considering the whole program

- Motivation: sound program analyses always have **false positives**
- Many **semantics-preserving** program transformations exist
- Idea: if an analysis is sound and can verify any variant of a piece of code created by applying only semantics-preserving transformations, then the code is definitely safe
 - requires us to run the verifier in a tight loop on many variants
 - too expensive if we're considering the whole program
 - our fast, sound static program reducer allows the analysis to run much faster in such a loop -> makes this practical?

```
boolean isLiteral = false;
try {
  String literalOption = indexOptions.get(INDEX IS LITERAL OPTION);
  AbstractType<?> validator = column.cellValueType();
  isLiteral = literalOption == null ?
   (validator instanceof UTF8Type || validator instanceof AsciiType)
     : Boolean.parseBoolean(literalOption);
} catch (Exception e) {
  logger.error("failed to parse {} option, defaulting to 'false'.",
     INDEX IS LITERAL OPTION);
```

This is the code from the example at the beginning of the talk

boolean isLiteral = false;

try {

String literalOption = indexOptions.get(INDEX_IS_LITERAL_OPTION);

AbstractType<?> validator = column.cellValueType();

```
isLiteral = literalOption == null ?
```

(validator instanceof UTF8Type || validator instanceof AsciiType)

: **Boolean**.parseBoolean(literalOption);

```
} catch (Exception e) {
```

logger.error("failed to parse {} option, defaulting to 'false'.",

```
INDEX IS LITERAL OPTION);
```

boolean isLiteral = false; preserved (+ its type...) try { String literalOption = indexOptions.get(INDEX IS LITERAL OPTION); **AbstractType**<?> validator = column.cellValueType(); isLiteral = literalOption == null ? (validator **instanceof UTF8Type** || validator **instanceof AsciiType**) : **Boolean**.parseBoolean(literalOption); } catch (Exception e) { logger.error("failed to parse {} option, defaulting to 'false'.", **INDEX IS LITERAL OPTION**);

```
preserved (+ wherever it is defined...)
boolean isLiteral = false;
try {
  String literalOption = indexOptions.get (INDEX IS LITERAL OPTION);
  AbstractType<?> validator = column.cellValueType();
  isLiteral = literalOption == null ?
   (validator instanceof UTF8Type || validator instanceof AsciiType)
     : Boolean.parseBoolean(literalOption);
} catch (Exception e) {
  logger.error("failed to parse {} option, defaulting to 'false'.",
     INDEX IS LITERAL OPTION);
```

```
boolean isLiteral = false;
         preserved (+ its supertypes...)
try {
  String literalOption = indexOptions.get(INDEX IS LITERAL OPTION);
  AbstractType <?> validator = column.cellValueType();
  isLiteral = literalOption == null ?
   (validator instanceof UTF8Type || validator instanceof AsciiType)
     : Boolean.parseBoolean(literalOption);
} catch (Exception e) {
  logger.error("failed to parse {} option, defaulting to 'false'.",
     INDEX IS LITERAL OPTION);
```

