



Breadth-First Traversal Via Staging

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1. Applicative functors

class *Functor* $f \Rightarrow$ *Applicative* f **where**

$unit :: f ()$ -- “skip”

$(\otimes) :: f a \rightarrow f b \rightarrow f (a, b)$ -- “sequential composition”

with appropriate laws (“strong lax-monoidal”).

- every *monad* is applicative
- *colists* are applicative, under zipping
- *constant* functors over a monoid are applicative

Applicative traversal

class *Functor* $t \Rightarrow$ *Traversable* t **where**

traverse :: *Applicative* $f \Rightarrow (a \rightarrow f\ b) \rightarrow t\ a \rightarrow f\ (t\ b)$

with laws (naturality, linearity, unitarity).

Eg left-to-right traversal of (finite) lists:

instance *Traversable* *List* **where**

traverse $f\ [] = \text{pure}\ []$

traverse $f\ (x : xs) = \text{fmap}\ (\text{uncurry}\ (:))\ (f\ x \otimes \text{traverse}\ f\ xs)$

Trees

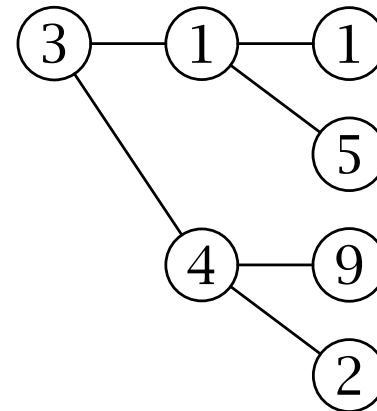
```
data Tree a = Node a (Forest a)
```

```
type Forest a = [ Tree a ]
```

eg

```
t :: Tree Int
```

```
t = Node 3 [ Node 1 [ Node 1 [ ]  
                , Node 5 [ ]  
                , Node 4 [ Node 9 [ ]  
                , Node 2 [ ] ] ] ]
```



Depth-first traversal

instance *Traversable Tree* where

$traverse_{Tree} f (Node\ x\ ts) = fmap\ (uncurry\ Node)\ (f\ x\ \otimes\ traverseF\ f\ ts)$

where $traverseF\ f = traverse_{List}\ (traverse_{Tree}\ f)$

- mutual recursion between *traverse* (trees) and *traverseF* (forests)
- similar in principle to left-to-right list traversal
- in fact, the outermost *traverse* in *traverseF* is that list traversal
- formulaic: can be derived from the datatype definition
- but what about *breadth-first* traversal?

Ask me later about...

- breadth-first *enumeration* using a queue
one-pass, but non-compositional; how to preserve tree shape?
- breadth-first *traversal* via shape and contents
compositional, but multi-pass
- breadth-first *relabelling* as a circular program
compositional, one-pass, but needs laziness

2. Repmin

Replace every element of a tree with the minimum element in that tree:

$repmin :: Tree\ Int \rightarrow Tree\ Int$

$repmin\ t = replaceT\ t\ (minT\ t)$ **where**

$minT :: Tree\ Int \rightarrow Int$

$minT\ (Node\ x\ []) = x$

$minT\ (Node\ x\ ts) = \min\ x\ (minF\ ts)$

$minF :: Forest\ Int \rightarrow Int$

$minF = \text{minimum} \circ \text{map}\ minT$

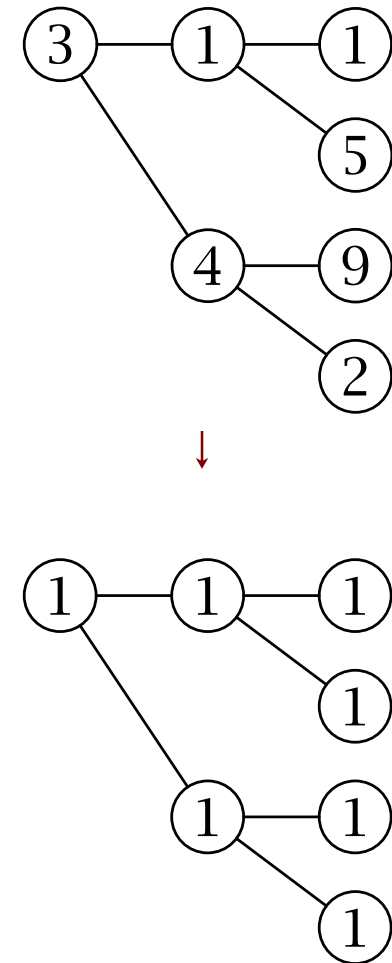
$replaceT :: Tree\ a \rightarrow b \rightarrow Tree\ b$

$replaceT\ (Node\ x\ ts)\ y = Node\ y\ (replaceF\ ts\ y)$

$replaceF :: Forest\ a \rightarrow b \rightarrow Forest\ b$

$replaceF\ ts\ y = [replaceT\ t\ y \mid t \leftarrow ts]$

but do so in a single pass rather than two.



Richard Bird's circular program

$repmin_{RSB} :: Tree\ Int \rightarrow Tree\ Int$

$repmin_{RSB}\ t = \mathbf{let}\ (u, m) = auxT\ t\ m\ \mathbf{in}\ u$ -- circular!

where

$auxT :: Tree\ Int \rightarrow a \rightarrow (Tree\ a, Int)$

$auxT\ (Node\ x\ [])\ y = (Node\ y\ [], x)$

$auxT\ (Node\ x\ ts)\ y = (Node\ y\ us, \min\ x\ z)$

where $(us, z) = auxF\ ts\ y$

$auxF :: Forest\ Int \rightarrow a \rightarrow (Forest\ a, Int)$ -- non-empty forest

$auxF\ ts\ y = (us, \text{minimum}\ ys)$

where $(us, ys) = unzip\ [auxT\ t\ y \mid t \leftarrow ts]$

(the **let** must be a **letrec**).

Alberto Pettorossi's higher-order program

$repmin_{ADP} :: Tree\ Int \rightarrow Tree\ Int$

$repmin_{ADP}\ t = \mathbf{let}\ (u, m) = auxT\ t\ \mathbf{in}\ u\ m$ -- not circular

where

$auxT :: Tree\ Int \rightarrow (a \rightarrow Tree\ a, Int)$

$auxT\ (Node\ x\ []) = (\lambda y \rightarrow Node\ y\ [], x)$

$auxT\ (Node\ x\ ts) = (\lambda y \rightarrow Node\ y\ (us\ y), min\ x\ z)$

where $(us, z) = auxF\ ts$

$auxF :: Forest\ Int \rightarrow (a \rightarrow Forest\ a, Int)$ -- non-empty forest

$auxF\ ts = (\lambda y \rightarrow map\ (\$y)\ us, minimum\ ys)$

where $(us, ys) = unzip\ [auxT\ t \mid t \leftarrow ts]$

(the **let** need not be a **letrec**).

3. Fusing traversals

For traversal bodies $f :: A \rightarrow F B$ and $g :: A \rightarrow F C$, hope that:

$$\text{traverse } f \ t \otimes \text{traverse } g \ t = \text{fmap } \text{unzip} \ (\text{traverse } (\lambda x \rightarrow f \ x \otimes g \ x) \ t)$$

Cannot hold in general, because *different interleavings* of effects.

Interleaving irrelevant for *commutative* F . But that's very restrictive.

Also irrelevant if f -effects *commute with* g -effects, even for non-commutative F :

$$f \ x \otimes g \ y = \text{fmap } \text{twist} \ (g \ y \otimes f \ x)$$

In particular, whenever f -effects and g -effects occur in *distinct phases* of a two-phase computation: “do X now; do Y later” vs “do Y later; do X now”.

Day convolution

data $Day\ f\ g\ a$ where

$Day :: ((a, b) \rightarrow c) \rightarrow f\ a \rightarrow g\ b \rightarrow Day\ f\ g\ c$

- $Day\ f\ xs\ ys$ with $xs :: F\ A$, $ys :: G\ B$ represents a *two-phase* computation
- subcomputation xs in phase one, generating effects in F
- subcomputation ys in phase two, generating effects in G
- package up with a function to combine the results (“*co-Yoneda trick*”)
- $Day\ F\ G$ is applicative when F, G are

Injecting and projecting

Two ways to inject a computation, one for each phase:

phase1 :: (Applicative f, Applicative g) ⇒ f a → Day f g a

phase1 xs = Day unitr xs unit

phase2 :: (Applicative f, Applicative g) ⇒ g a → Day f g a

phase2 xs = Day unitl unit xs

Computations in different phases commute:

phase1 xs ⊗ phase2 ys = fmap twist (phase2 ys ⊗ phase1 xs)

Collapse two phases into one, if they share the same class of effects:

runDay :: Applicative f ⇒ Day f f a → f a

runDay (Day f xs ys) = fmap f (xs ⊗ ys)

Greeting in pieces

For example, we can send a two-part greeting in separate phases:

```
>>> runDay (phase1 (putStr "Hello ") *) phase2 (putStr "World"))  
Hello World
```

It doesn't matter if we specify those two phases in the opposite order:

```
>>> runDay (phase2 (putStr "World") *) phase1 (putStr "Hello ")  
Hello World
```

We can even interleave the specification of fragments from different phases:

```
>>> runDay (phase1 (putStr "Hel") *)  
           phase2 (putStr "World") *)  
           phase1 (putStr "lo "))  
Hello World
```

4. Repmin in two phases

Core of repmin:

$$\text{repminAux} :: \text{Tree Int} \rightarrow \text{Day (Writer (Min Int)) (Reader (Min Int)) (Tree Int)}$$

Ask me later about:

- *Writer* and *Reader* monads
- *Min* monoid
- each phase of *repmin* is an instance of *traverse*
- the traversals *fuse*

RepmIn, RSB-style

Extract the writer and reader components *in parallel*:

$$\begin{aligned} \text{parWR} &:: \text{Day (Writer s) (Reader s) } a \rightarrow a \\ \text{parWR (Day f xs ys)} &= \mathbf{let} ((x, s), y) = (\text{runWriter xs}, \text{runReader ys s}) \\ &\quad \mathbf{in} f (x, y) \end{aligned}$$

Circular, so **let** must have **letrec** semantics. In particular,

$$\begin{aligned} \text{repmInWR}_{\text{RSB}} &:: \text{Tree Int} \rightarrow \text{Tree Int} \\ \text{repmInWR}_{\text{RSB}} t &= \text{parWR} (\text{repmInAux } t) \end{aligned}$$

is Bird's circular, lazy solution to the repmin problem.

RepmIn, ADP-style

Conversely, extract writer then reader components *sequentially*:

$$\begin{aligned}
 \text{seqWR} &:: \text{Day } (\text{Writer } s) (\text{Reader } s) a \rightarrow a \\
 \text{seqWR } (\text{Day } f \text{ } xs \text{ } ys) &= \mathbf{let} \ (x, s) = \text{runWriter } xs \\
 &\quad y = \text{runReader } ys \ s \\
 &\mathbf{in} \ f \ (x, y)
 \end{aligned}$$

Now no circularity, so plain non-recursive **let** suffices. In particular,

$$\begin{aligned}
 \text{repmInWR}_{\text{ADP}} &:: \text{Tree Int} \rightarrow \text{Tree Int} \\
 \text{repmInWR}_{\text{ADP}} \ t &= \text{seqWR } (\text{repmInAux } t)
 \end{aligned}$$

is Pettorossi's non-circular, higher-order solution to the repmin problem.

Lazily, clearly $\text{parWR} = \text{seqWR}$. Hence also $\text{repmInWR}_{\text{RSB}} = \text{repmInWR}_{\text{ADP}}$.

5. Multiple phases

Generalize from two to *multiple* phases:

data *Phases f a where*

Pure :: $a \rightarrow \text{Phases } f \ a$

Link :: $((a, b) \rightarrow c) \rightarrow f \ a \rightarrow \text{Phases } f \ b \rightarrow \text{Phases } f \ c$

- *Pure* produces a chain with no effectful phases
- *Link* adds one more effectful phase to the chain
- *homogeneous* iteration of Day convolution
- cf lists as homogeneous iteration of pairing
- single initial value; each link adds combining function, collection of values
- eg *Link f xs (Link g ys (Pure z))* :: *Phases F E* where

$z :: A, ys :: F \ B, g :: (B, A) \rightarrow C, xs :: F \ D, f :: (D, C) \rightarrow E$

Free applicatives

Phases F is the *free applicative* on functor *F*, using *concatenation*:

instance *Functor f* \Rightarrow *Applicative (Phases f)* **where**

unit = *Pure* ()

Pure x \otimes *ys* = *fmap* (*x*,) *ys*

Link f xs ys \otimes *zs* = *Link* ($\lambda(x, (y, z)) \rightarrow (f (x, y), z)$) *xs* (*ys* \otimes *zs*)

But concatenation is not what we want.

When *F* is *itself* applicative and not just a functor, we can (*long*) *zip*:

instance *Applicative f* \Rightarrow *Applicative (Phases f)* **where** -- different instance!

unit = *Pure* ()

Pure x \otimes *ys* = *fmap* (*x*,) *ys*

xs \otimes *Pure y* = *fmap* (, *y*) *xs*

Link f xs ys \otimes *Link g zs ws* = *Link* (*cross f g* \circ *exch4*) (*xs* \otimes *zs*) (*ys* \otimes *ws*)

Two phases, more or less

By design, *Phases f* generalizes *Day f f*. Hence injection:

$$\begin{aligned} \text{inject} &:: \text{Applicative } f \Rightarrow \text{Day } f f a \rightarrow \text{Phases } f a \\ \text{inject } (\text{Day } f \text{ } xs \text{ } ys) &= \text{Link } f \text{ } xs \text{ } (\text{Link } \text{unitr } ys \text{ } (\text{Pure } ())) \end{aligned}$$

Analogous to *phase1* and *phase2*, embed into an arbitrary phase:

$$\begin{aligned} \text{phase} &:: \text{Applicative } f \Rightarrow \text{Int} \rightarrow f a \rightarrow \text{Phases } f a \\ \text{phase } 1 &= \text{now} \\ \text{phase } i &= \text{later} \circ \text{phase } (i - 1) \end{aligned}$$

where

$$\begin{aligned} \text{now} &:: \text{Applicative } f \Rightarrow f a \rightarrow \text{Phases } f a && \text{-- embed at phase one} \\ \text{now } xs &= \text{Link } \text{unitr } xs \text{ } (\text{Pure } ()) \end{aligned}$$

$$\begin{aligned} \text{later} &:: \text{Applicative } f \Rightarrow \text{Phases } f a \rightarrow \text{Phases } f a && \text{-- shift everything one phase later} \\ \text{later } xs &= \text{Link } \text{unitl } \text{unit} \text{ } xs \end{aligned}$$

Sorting leaves of a tree in one pass

sortTree :: Ord a ⇒ Tree a → Tree a

sortTree t = evalState (runPhases (sortTreeAux t)) []

sortTreeAux :: Ord a ⇒ Tree a → Phases (State [a]) (Tree a)

sortTreeAux t = phase 1 (traverse push t) *} -- push :: a → State [a] ()

phase 2 (modify sort) *}

phase 3 (traverse (λx → pop) t) -- pop :: () → State [a] a

- commute phases, to bring the two traversals together
- traversal commutes with staging
- consecutive traversals in different phases fuse

sortTreeAux t = phase 2 (modify sort) *}

traverse (λx → phase 1 (push x) *} phase 3 pop) t

Breadth-first traversal in stages

$bft :: \text{Applicative } f \Rightarrow (a \rightarrow f b) \rightarrow \text{Tree } a \rightarrow f (\text{Tree } b)$

$bft f = \text{runPhases} \circ bftAux f$

$bftAux :: \text{Applicative } f \Rightarrow (a \rightarrow f b) \rightarrow \text{Tree } a \rightarrow \text{Phases } f (\text{Tree } b)$

$bftAux f (\text{Node } x \text{ } ts) = \text{fmap } (\text{uncurry } \text{Node}) (\text{now } (f x) \otimes \text{later } (\text{traverse } (bftAux f) ts))$

cf depth-first, obtained by deleting staging annotations:

$dft :: \text{Applicative } f \Rightarrow (a \rightarrow f b) \rightarrow \text{Tree } a \rightarrow f (\text{Tree } b)$

$dft f (\text{Node } x \text{ } ts) = \text{fmap } (\text{uncurry } \text{Node}) (f x \otimes \text{traverse } (dft f) ts)$

In particular, bf relabelling, needing neither queues nor cyclicity/laziness:

$bfl :: \text{Tree } a \rightarrow [b] \rightarrow \text{Tree } b$

$bfl t xs = \text{evalState } (bft (\lambda x \rightarrow \text{pop}) t) xs$

6. Conclusion

- Day convolution: *natural monoidal structure* underlying applicative functors
- multi-stage computation as *iterated Day convolution*
- same datatype as free applicatives, but *different applicative instance*
- unifying Bird's and Pettorossi's repmin solutions
- breadth-first traversal without shape/contents or laziness/circularity
- joint work with Oisín Kidney, Tom Schrijvers, Nick Wu
- paper at MPC 2022 (LNCS 13544, doi [10.1007/978-3-031-16912-0_1](https://doi.org/10.1007/978-3-031-16912-0_1))
- dedicated to Richard Bird
- <http://www.cs.ox.ac.uk/jeremy.gibbons/>

Extra slides

7. Queues

$bfq :: Tree\ a \rightarrow [a]$

$bfq\ t = bfqAux\ [t]$ **where**

$bfqAux\ (Node\ x\ ts : q) = x : bfqAux\ (q ++ ts)$

$bfqAux\ [] = []$

Straightforward for *enumeration*, but what about *traversal*?

Anyway, it's *non-compositional*. (More of an unfold than a fold...)

Shape and contents

$shape :: Tree\ a \rightarrow Tree\ ()$

$shape = fmap\ (const\ ())$

and

$levels :: Tree\ a \rightarrow [[\ a\]]$

$levels\ (Node\ x\ ts) = [x] : levelsF\ ts$

$levelsF :: Forest\ a \rightarrow [[\ a\]]$

$levelsF = foldr\ (lzw\ (+))\ [] \circ map\ levels$ -- “long zip with”

so $bf = concat \circ levels$. But now compositional.

Relabelling

$relabel :: (Tree (), [[a]]) \rightarrow (Tree a, [[a]])$ -- given appropriate list of lists...

$relabel (Node () ts, (x : xs) : xss) = \mathbf{let} (us, yss) = relabelF (ts, xss)$
 $\mathbf{in} (Node x us, xs : yss)$

$relabelF :: (Forest (), [[a]]) \rightarrow (Forest a, [[a]])$

$relabelF ([], xss) = ([], xss)$

$relabelF (t : ts, xss) = \mathbf{let} (u, yss) = relabel (t, xss)$
 $(us, zss) = relabelF (ts, yss)$
 $\mathbf{in} (u : us, zss)$

— in some sense, the inverse of the split into shape and contents. So

$bftSC :: Applicative f \Rightarrow (a \rightarrow f b) \rightarrow Tree a \rightarrow f (Tree b)$

$bftSC f t = fmap (combine (shape t)) (traverse (traverse f) (levels t))$

$\mathbf{where} combine u xss = fst (relabel (u, xss))$

Circular programs

Need not have the contents conveniently partitioned.
Instead, partition it on the fly:

```
bflabel :: Tree () → [a] → Tree a  
bflabel t xs = let (u, xss) = relabel (t, xs : xss) in u
```

(Due to Geraint Jones.)

Note that this **let** must be a **letrec**; the program is *circular*.

Hence another definition of breadth-first traversal.

It's circular. So seems like it needs *laziness*?

But it's still a bit clunky to have to separate into shape and contents.



8. Repmin in two phases

Writer:

$runWriter :: Writer\ w\ a \rightarrow (a, w)$

$tell :: Monoid\ w \Rightarrow Writer\ w\ ()$

and reader:

$runReader :: Reader\ r\ a \rightarrow (r \rightarrow a)$

$ask :: Reader\ r\ r$

and minimum as a monoid over *Int*:

$Min \quad :: Int \rightarrow Min\ Int$

$getMin :: Min\ Int \rightarrow Int$

We work in the Day convolution $Day\ (Writer\ (Min\ Int))\ (Reader\ (Min\ Int))$.

Core of repmin

```
repminAux :: Tree Int → Day (Writer (Min Int)) (Reader (Min Int)) (Tree Int)
repminAux t = phase1 (minAux t) * phase2 (replaceAux t)
```

where

```
minAux      :: Tree Int → Writer (Min Int) (Tree ())      -- write each element in turn
minAux = traverse (λx → tellMin x)
tellMin :: Int → WInt ()
tellMin x = tell (Min x)

replaceAux :: Tree Int → Reader (Min Int) (Tree Int)  -- replacement for each element
replaceAux = traverse (λx → askMin)
askMin :: RInt Int
askMin = fmap getMin ask
```

Fusion

$$\begin{aligned}
 & \text{repmInAux } t \\
 = & \quad \llbracket \text{specification} \rrbracket \\
 & \text{phase1 } (\text{traverse } (\lambda x \rightarrow \text{tellMin } x) \ t) \ * \ \text{phase2 } (\text{traverse } (\lambda x \rightarrow \text{askMin}) \ t) \\
 = & \quad \llbracket \text{naturality in applicative functor} \rrbracket \\
 & \text{traverse } (\lambda x \rightarrow \text{phase1 } (\text{tellMin } x)) \ t \ * \ \text{traverse } (\lambda x \rightarrow \text{phase2 } \text{askMin}) \ t \\
 = & \quad \llbracket \text{fusion of traversals} \rrbracket \\
 & \text{traverse } (\lambda x \rightarrow \text{phase1 } (\text{tellMin } x) \ * \ \text{phase2 } \text{askMin})
 \end{aligned}$$

—a *one-pass* traversal, generating a *two-phase* computation for later execution.