

Continuation–Passing Style,
Defunctionalization,
Accumulations, and
Associativity



THERE WILL BE
NO MIRACLES
HERE

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1. Factorial

fact : Integer \rightarrow Integer

fact 0 = 1

fact n = n \times *fact* (n - 1)

Recursive.

Continuation-passing style

Introduce *continuation* as accumulating parameter:

$$fact'_2 n k = k (fact n)$$

Then calculate:

$$fact_2 : Integer \rightarrow Integer$$

$$fact_2 n = fact'_2 n id \text{ where}$$

$$fact'_2 : Integer \rightarrow (Integer \rightarrow Integer) \rightarrow Integer$$

$$fact'_2 0 k = k 1$$

$$fact'_2 n k = fact'_2 (n - 1) (\lambda m \Rightarrow k (n \times m))$$

Now *tail-recursive*, but *higher-order*.

Defunctionalize

The continuations aren't arbitrary $Integer \rightarrow Integer$ functions:
always of the form $(a \times) \cdot (b \times) \cdot \dots \cdot (c \times)$.

Data-refine this continuation to a list $[a, b, \dots, c]$:

$fact_3 : Integer \rightarrow Integer$

$fact_3 n = fact'_3 n []$ **where**

$fact'_3 : Integer \rightarrow List Integer \rightarrow Integer$

$fact'_3 0 k = product k$

$fact'_3 n k = fact'_3 (n - 1) (k ++ [n])$

Tail-recursive, *first order*—but uses data structures.

Associativity

Further data-refine $[a, b, \dots, c]$ to $a \times b \times \dots \times c$.

$fact_4 : Integer \rightarrow Integer$

$fact_4 n = fact'_4 n 1$ where

$fact'_4 : Integer \rightarrow Integer \rightarrow Integer$

$fact'_4 0 k = k$

$fact'_4 n k = fact'_4 (n - 1) (k \times n)$

Data refinement valid by *associativity*.

Familiar: *tail-recursive, first-order, only scalar* data.

(This last step wouldn't work for “subfactorial”.)

```

n, k := N, 1;
{ inv: n ≥ 0 ∧ k × n! = N! }
while n ≠ 0 do
  n, k := n - 1, k × n
end
{ k = N! }

```

2. Hutton's Razor

Expressions with subtraction, which is not associative:

```
data Expr = Lit Integer | Diff Expr Expr
```

```
expr : Expr
```

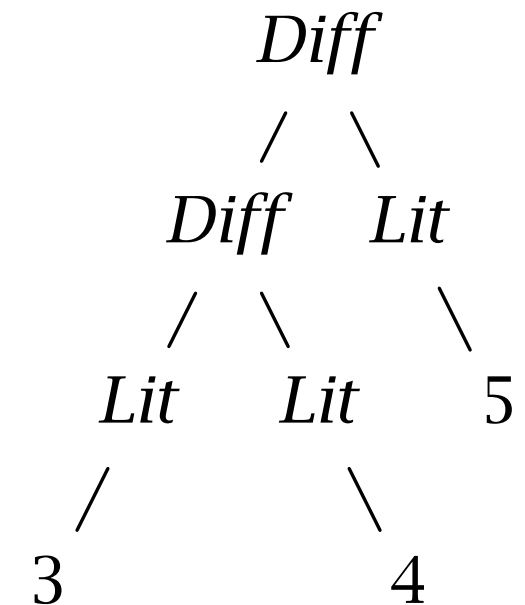
```
expr = Diff (Diff (Lit 3) (Lit 4)) (Lit 5)  -- ie (3 - 4) - 5
```

Evaluation:

```
eval : Expr → Integer
```

```
eval (Lit n)      = n
```

```
eval (Diff e e') = eval e - eval e'
```



CPS

$eval_2 : Expr \rightarrow Integer$

$eval_2 e = eval'_2 e id$ **where**

$eval'_2 : Expr \rightarrow (Integer \rightarrow Integer) \rightarrow Integer$

$eval'_2 (Lit\ n) = \lambda k \Rightarrow k\ n$

$eval'_2 (Diff\ e\ e') = \lambda k \Rightarrow eval'_2\ e\ (\lambda m \Rightarrow eval'_2\ e'\ (\lambda n \Rightarrow k\ (m - n)))$

Tail-recursive, but higher-order.

CPS with convenient **abbreviations**

$eval_2 : Expr \rightarrow Integer$

$eval_2 e = eval'_2 e$ **halt** where

$eval'_2 : Expr \rightarrow (Integer \rightarrow Integer) \rightarrow Integer$

$eval'_2 (Lit\ n) = ret\ n$

$eval'_2 (Diff\ e\ e') = \lambda k \Rightarrow eval'_2\ e\ (\lambda m \Rightarrow eval'_2\ e'\ (sub\ k\ m))$

Tail-recursive, but higher-order.

Abbreviations:

$halt = id$

$ret\ n = \lambda k \Rightarrow k\ n$

$sub = \lambda k \Rightarrow \lambda m \Rightarrow \lambda n \Rightarrow k\ (m - n)$

Defunctionalize

data $EvalFrame_3 = EvalLeftExpr_3 Expr \mid EvalRightValue_3 Integer$

$eval_3 : Expr \rightarrow Integer$

$eval_3 e = eval'_3 e []$ **where mutual**

$eval'_3 : Expr \rightarrow List EvalFrame_3 \rightarrow Integer$

$eval'_3 (Lit n) \quad k = evalabs_3 k n$

$eval'_3 (Diff e e') k = eval'_3 e (EvalLeftExpr_3 e' :: k)$

$evalabs_3 : List EvalFrame_3 \rightarrow (Integer \rightarrow Integer)$

$evalabs_3 [] \quad n = n$

$evalabs_3 (EvalLeftExpr_3 e' :: k) \quad m = eval'_3 e' (EvalRightValue_3 m :: k)$

$evalabs_3 (EvalRightValue_3 m :: k) n = evalabs_3 k (m - n)$

An interpreter, but *not a compiler*: stack contains *unevaluated expressions*.

Where does this compiler come from?

data *Instr* = *PushI Integer* | *SubI*

-- eg [*PushI* 3, *PushI* 4, *SubI*, *PushI* 5, *SubI*] — ie *linear* code

*compile*₄ : *Expr* → *List Instr*

*compile*₄ (*Lit* *n*) = [*PushI* *n*]

*compile*₄ (*Diff* *e e'*) = *compile*₄ *e* ++ *compile*₄ *e'* ++ [*SubI*]

*exec*₄ : *List Instr* → *List Integer* → *List Integer*

*exec*₄ *p s* = *foldl step s p* **where**

step ns (*PushI* *n*) = *n :: ns*

step (n :: m :: ns) SubI = (*m - n*) :: *ns* -- note *reversal* of arguments

*eval*₄ : *Expr* → *Integer*

*eval*₄ *e* = **case** *exec*₄ (*compile*₄ *e*) [] **of** [*n*] ⇒ *n*

3. Generalized composition

Wand's key insight (1982). Recursive case routes k to $eval'_2 e$, but k, m to $eval'_2 e'$:

$$eval'_2 (Diff\ e\ e') = \lambda k \Rightarrow eval'_2\ e\ (\lambda m \Rightarrow eval'_2\ e'\ (sub\ k\ m))$$

Generalize composition to propagate *multiple arguments*:

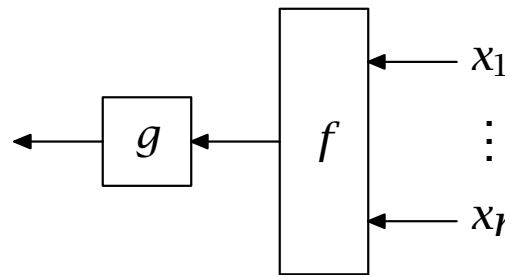
$$b^r\ g\ f = \lambda x_1 \dots x_r \rightarrow g\ (f\ x_1 \dots x_r)$$

ie

$$b^0\ g\ f = g\ f$$

$$b^1\ g\ f = g \cdot f$$

$$b^{r+1}\ g\ f = \lambda x \rightarrow b^r\ g\ (f\ x)$$



or equivalently, $b^r = (\cdot) \dots (\cdot)$ (r times).

Deriving Target Code as a Representation of Continuation Semantics

MITCHELL WAND
Indiana University

Reynolds' technique for deriving interpreters is extended to derive compilers from continuation semantics. The technique starts by eliminating λ -variables from the semantic equations through the introduction of special-purpose combinators. The semantics of a program phrase may be represented by a term built from these combinators. Then associative and distributive laws are used to simplify the terms. Last, a machine is built to interpret the simplified terms as the functions they represent. The combinators reappear as the instructions of this machine. The technique is illustrated with three examples.

Categories and Subject Descriptors: D.3.1 [Programming Languages]: Formal Definitions and Theory—*semantics*; D.3.4 [Programming Languages]: Processors—*code generation*; *compilers*; F.3.2 [Logics and Meanings of Programs]: Semantics of Programming Languages—*denotational semantics*; F.4.1 [Mathematical Logic and Formal Languages]: Mathematical Logic—*lambda calculus and related systems*

General Terms: Languages, Theory

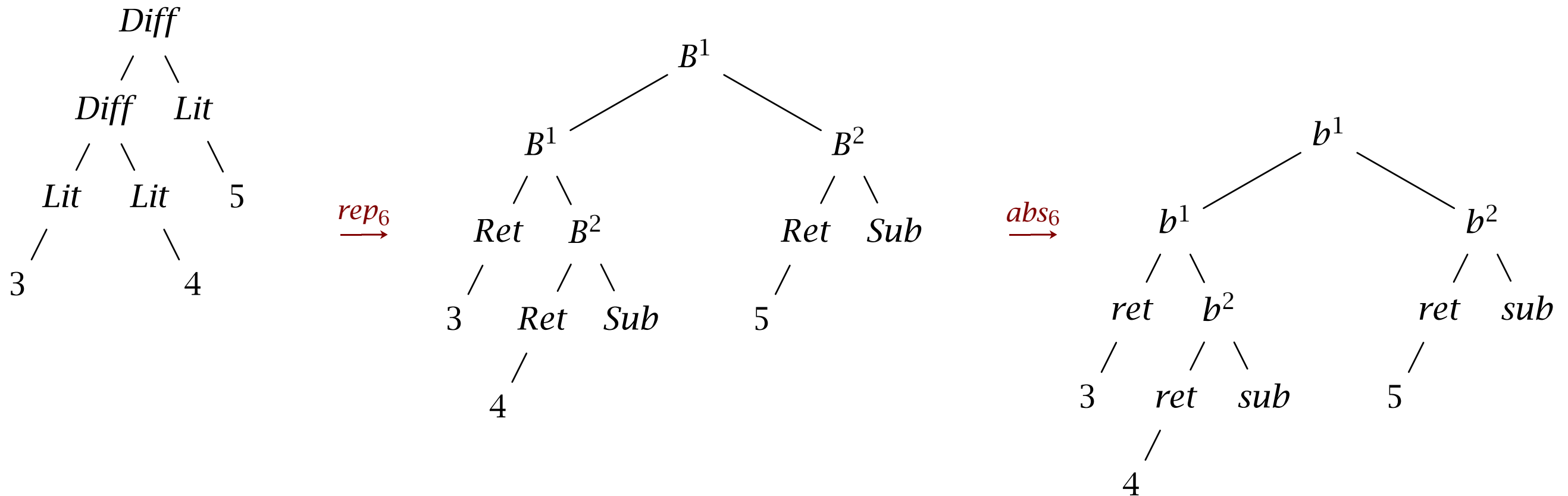
Additional Key Words and Phrases: Continuations, combinators

1. INTRODUCTION

In this paper, we attack the question of how a denotational semantics for a language is related to an implementation of that language. Typically, one constructs the semantics of a target machine and of a (suitably abstract) compiler and proves a congruence between the two different semantics [12].

Omitted steps (see paper)...

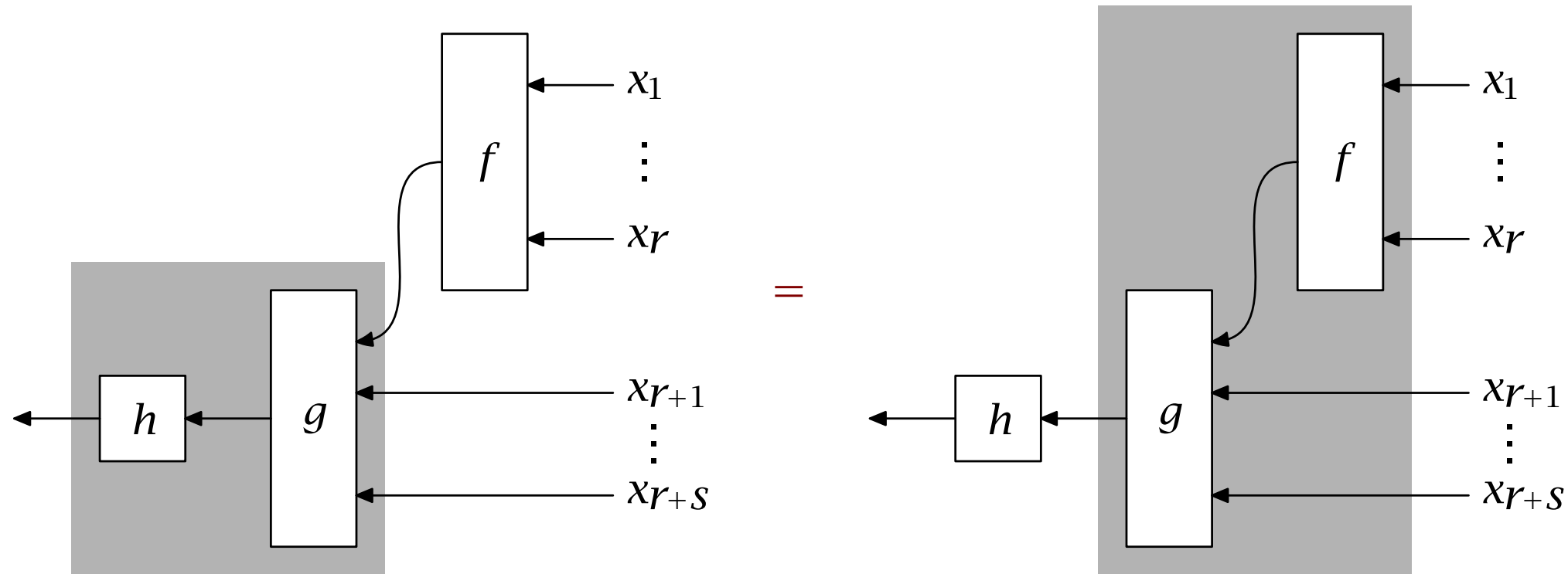
But still tree-shaped



How do we recover *linear* code?

4. Associativity

Generalized composition is (of course!) *(pseudo-)associative*:



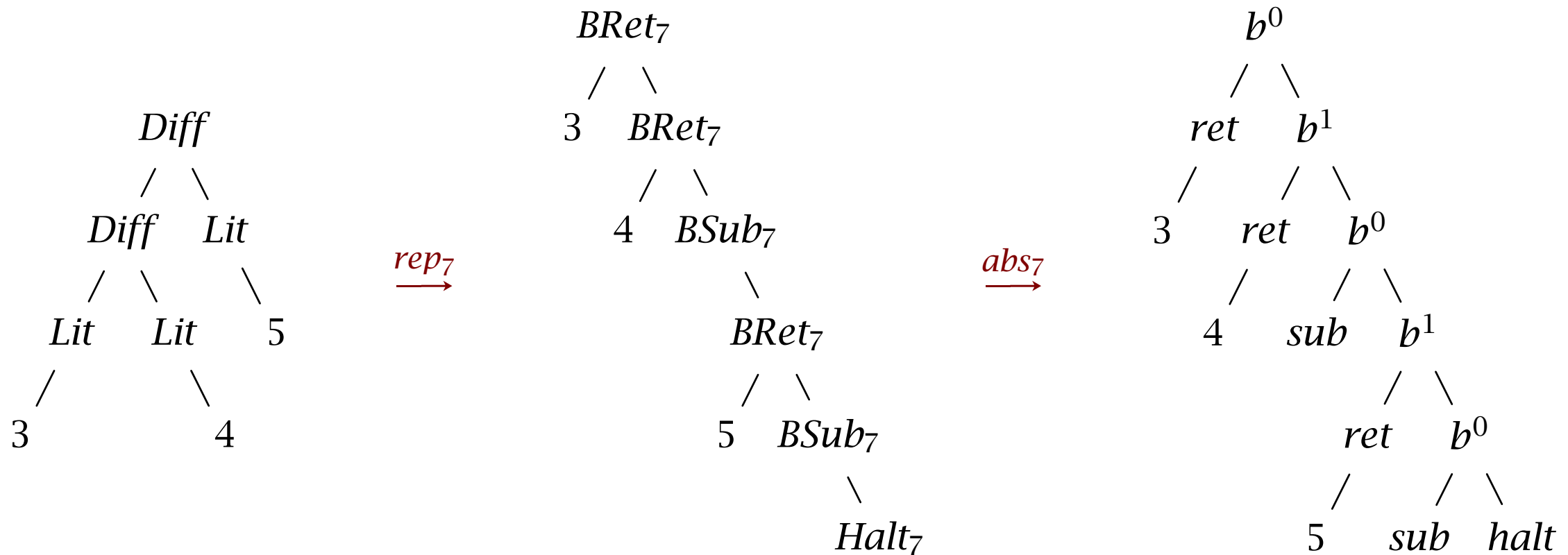
ie $b^r (b^{s+1} h g) f = b^{r+s} h (b^r g f)$. So we can *rotate* tree-shaped code to linear.

Rotating

$$\begin{aligned}
 & eval_5 \ expr \\
 = & \quad [[\text{definition of } eval_5, eval'_5; b^0 \text{ is application} \quad]] \\
 & \quad b^0 (b^1 (b^1 (ret\ 3) (b^2 (ret\ 4) sub)) (b^2 (ret\ 5) sub)) halt \\
 = & \quad [[\text{pseudo-associativity: } b^0 (b^1 h g) f = b^0 h (b^0 g f) \quad]] \\
 & \quad b^0 (b^1 (ret\ 3) (b^2 (ret\ 4) sub)) (b^0 (b^2 (ret\ 5) sub) halt) \\
 = & \quad [[\text{pseudo-associativity: } b^0 (b^1 h g) f = b^0 h (b^0 g f) \quad]] \\
 & \quad b^0 (ret\ 3) (b^0 (b^2 (ret\ 4) sub) (b^0 (b^2 (ret\ 5) sub) halt)) \\
 = & \quad [[\text{pseudo-associativity: } b^0 (b^2 h g) f = b^1 h (b^0 g f) \quad]] \\
 & \quad b^0 (ret\ 3) (b^1 (ret\ 4) (b^0 sub (b^0 (b^2 (ret\ 5) sub) halt))) \\
 = & \quad [[\text{pseudo-associativity: } b^0 (b^2 h g) f = b^1 h (b^0 g f) \quad]] \\
 & \quad b^0 (ret\ 3) (b^1 (ret\ 4) (b^0 sub (b^1 (ret\ 5) (b^0 sub halt))))
 \end{aligned}$$

More omitted steps (see paper)...

No longer tree-shaped



This is where the compiler comes from!

compile₇ : Expr → List Instr

compile₇ = compileRep₇ · rep₇ where

compileRep₇ : ExprRep₇ r → List Instr

compileRep₇ Halt₇ = []

compileRep₇ (BRet₇ n k) = PushI n :: compileRep₇ k

compileRep₇ (BSub₇ k) = SubI :: compileRep₇ k

Indeed:

compile₇ expr = [PushI 3, PushI 4, SubI, PushI 5, SubI]

5. Conclusion

- *accumulating parameters, continuation-passing style, defunctionalization*
- Reynolds, Danvy: *recursive* interpreter \rightsquigarrow *tail-recursive* abstract machine
- many other applications: fast reverse, traversals, zippers...
- but there's usually an appeal to *associativity* there too
- *generalized composition* a useful tool
- perhaps it boils down to Cayley's Theorem / *Yoneda Lemma*?

Definitional Interpreters for Higher-Order Programming Languages

John C. Reynolds, Syracuse University

Higher-order programming languages (i.e., languages in which procedures or labels can occur as values) are usually defined by interpreters which are themselves written in a programming language based on the lambda calculus (i.e., an

INTRODUCTION

An important and frequently used method of defining a programming language is to give an interpreter for the language which is written in a second, hopefully

A Functional Correspondence between Evaluators and Abstract Machines

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Abstract

We bridge the gap between functional evaluators and abstract machines for the λ -calculus, using closure conversion, transformation into continuation-passing style, and defunctionalization.

1 Introduction and related work

In Hannan and Miller's words [23, Section 7], there are fundamental differences between denotational definitions and definitions of abstract machines. While a functional programmer tends to be

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Omitted material

Implementing generalized composition

Really needs a *dependent type*, indexed by list of argument types:

$$\text{Arrow} : \text{List Type} \rightarrow \text{Type} \rightarrow \text{Type}$$

$$\text{Arrow} [] \quad b = b$$

$$\text{Arrow} (a :: as) \quad b = a \rightarrow \text{Arrow} as \quad b$$


For example, at arity 2:

$$\text{Arrow} [\text{Char}, \text{Bool}] \quad \text{String} = \text{Char} \rightarrow \text{Bool} \rightarrow \text{String}$$

Then defined by induction over the arity:

$$b : \{as : \text{List Type}\} \rightarrow (b \rightarrow c) \rightarrow \text{Arrow} as \quad b \rightarrow \text{Arrow} as \quad c$$

$$b \{as = []\} \quad g \quad f = g \quad f$$

$$b \{as = _ :: _\} \quad g \quad f = b \quad g \cdot f$$

Exploiting generalized composition

Recall:

$$eval_2 e = eval'_2 e id$$

$$eval'_2 (Lit n) = \lambda k \Rightarrow k n$$

$$eval'_2 (Diff e e') = \lambda k \Rightarrow eval'_2 e (\lambda m \Rightarrow eval'_2 e' (\lambda n \Rightarrow k (m - n)))$$

Exploiting generalized composition

Recall:

$$\mathit{eval}_2 e = \mathit{eval}'_2 e \mathit{halt}$$

$$\mathit{eval}'_2 (\mathit{Lit} n) = \mathit{ret} n$$

$$\mathit{eval}'_2 (\mathit{Diff} e e') = \lambda k \Rightarrow \mathit{eval}'_2 e (\lambda m \Rightarrow \mathit{eval}'_2 e' (\lambda n \Rightarrow \mathit{sub} k m n))$$

where for later convenience we introduce:

$$\mathit{halt} = \mathit{id}$$

$$\mathit{ret} n = \lambda k \Rightarrow k n$$

$$\mathit{sub} = \lambda k \Rightarrow \lambda m \Rightarrow \lambda n \Rightarrow k (m - n)$$

Exploiting generalized composition

Recall:

$$eval_2 e = eval'_2 e \text{ halt}$$

$$eval'_2 (\text{Lit } n) = \text{ret } n$$

$$eval'_2 (\text{Diff } e e') = \lambda k \Rightarrow eval_2 e (\lambda m \Rightarrow eval'_2 e' (\lambda n \Rightarrow \text{sub } k m n))$$

Then:

$$eval'_2 (\text{Diff } e e')$$

$$= \text{[[definition]]}$$

$$\lambda k \Rightarrow eval_2 e (\lambda m \Rightarrow eval'_2 e' (\lambda n \Rightarrow \text{sub } k m n))$$

$$= \text{[[since } \lambda k \Rightarrow g (f k) \text{ is } b^1 g (\lambda k \Rightarrow f k) \text{]]}$$

$$b^1 (eval_2 e) (\lambda k m \Rightarrow eval'_2 e' (\lambda n \Rightarrow \text{sub } k m n))$$

$$= \text{[[since } \lambda k m \Rightarrow g (f k m) \text{ is } b^2 g (\lambda k m \Rightarrow f k m) \text{]]}$$

$$b^1 (eval_2 e) (b^2 (eval'_2 e') \text{sub})$$

Installing generalized composition

Rewrite the *Diff* case of $eval'_2$:

$eval_5 : Expr \rightarrow Integer$

$eval_5 e = eval'_5 e \text{ halt}$ where

$eval'_5 : Expr \rightarrow (Integer \rightarrow Integer) \rightarrow Integer$

$eval'_5 (Lit\ n) = ret\ n$

$eval'_5 (Diff\ e\ e') = b^1 (eval'_5\ e) (b^2 (eval'_5\ e')\ sub)$

Representation

$eval'_5$ is *not tail-recursive* any more; but suggests another representation:

data $ExprRep_6 : List\ Type \rightarrow Type$ **where**

$Ret_6 : Integer \rightarrow$

$ExprRep_6 []$

$Sub_6 :$

$ExprRep_6 [Integer, Integer]$

$B_6^1 : ExprRep_6 [] \rightarrow ExprRep_6 [Integer] \rightarrow$

$ExprRep_6 []$

$B_6^2 : ExprRep_6 [] \rightarrow ExprRep_6 [Integer, Integer] \rightarrow ExprRep_6 [Integer]$

obtained by *defunctionalizing the evaluator*:

$rep_6 : Expr \rightarrow ExprRep_6 []$

$rep_6 (Lit\ n) = Ret_6\ n$

$rep_6 (Diff\ e\ e') = B_6^1 (rep_6\ e) (B_6^2 (rep_6\ e')\ Sub_6)$

Type index denotes what *extra values* are needed to complete evaluation.

Interpretation

Data of type $ExprRep_6$ r is a defunctionalized evaluation function of type

$$(Integer \rightarrow Integer) \rightarrow Arrow\ r\ Integer$$

Abstraction function *refunctionalizes*:

$$abs_6 : ExprRep_6\ r \rightarrow (Integer \rightarrow Integer) \rightarrow Arrow\ r\ Integer$$

$$abs_6 (Ret_6\ n) = ret\ n$$

$$abs_6\ Sub_6 = sub$$

$$abs_6 (B_6^1\ x\ y) = b^1 (abs_6\ x) (abs_6\ y)$$

$$abs_6 (B_6^2\ x\ y) = b^2 (abs_6\ x) (abs_6\ y)$$

Linear code

data $ExprRep_7 : List\ Type \rightarrow Type$ **where**

$Halt_7 :$ $ExprRep_7 [Integer]$

$BRet_7 : Integer \rightarrow ExprRep_7 (Integer :: r) \rightarrow ExprRep_7 r$

$BSub_7 : ExprRep_7 (Integer :: r) \rightarrow ExprRep_7 (Integer :: Integer :: r)$

supporting concatenation:

$append_7 : ExprRep_7 r \rightarrow ExprRep_7 (Integer :: s) \rightarrow ExprRep_7 (r ++ s)$

$append_7\ Halt_7\ y = y$

$append_7 (BRet_7\ n\ k)\ y = BRet_7\ n (append_7\ k\ y)$

$append_7 (BSub_7\ k)\ y = BSub_7 (append_7\ k\ y)$

Representation and interpretation

Obtained by defunctionalizing the transformed interpreter:

$$\begin{aligned} \text{rep}_7 &: \text{Expr} \rightarrow \text{ExprRep}_7 [] \\ \text{rep}_7 (\text{Lit } n) &= \text{BRet}_7 n \text{ Halt}_7 \\ \text{rep}_7 (\text{Diff } e e') &= \text{append}_7 (\text{rep}_7 e) (\text{append}_7 (\text{rep}_7 e') (\text{BSub}_7 \text{ Halt}_7)) \end{aligned}$$

and interpreted like this:

$$\begin{aligned} \text{abs}_7 &: \text{ExprRep}_7 r \rightarrow \text{Arrow } r \text{ Integer} \\ \text{abs}_7 \text{ Halt}_7 &= \text{halt} \\ \text{abs}_7 (\text{BRet}_7 n k) &= \text{ret } n (\text{abs}_7 k) \\ \text{abs}_7 (\text{BSub}_7 k) &= \text{flip } (\text{sub } (\text{abs}_7 k)) \end{aligned} \quad \text{-- note } \textit{reversal} \text{ of arguments again}$$